



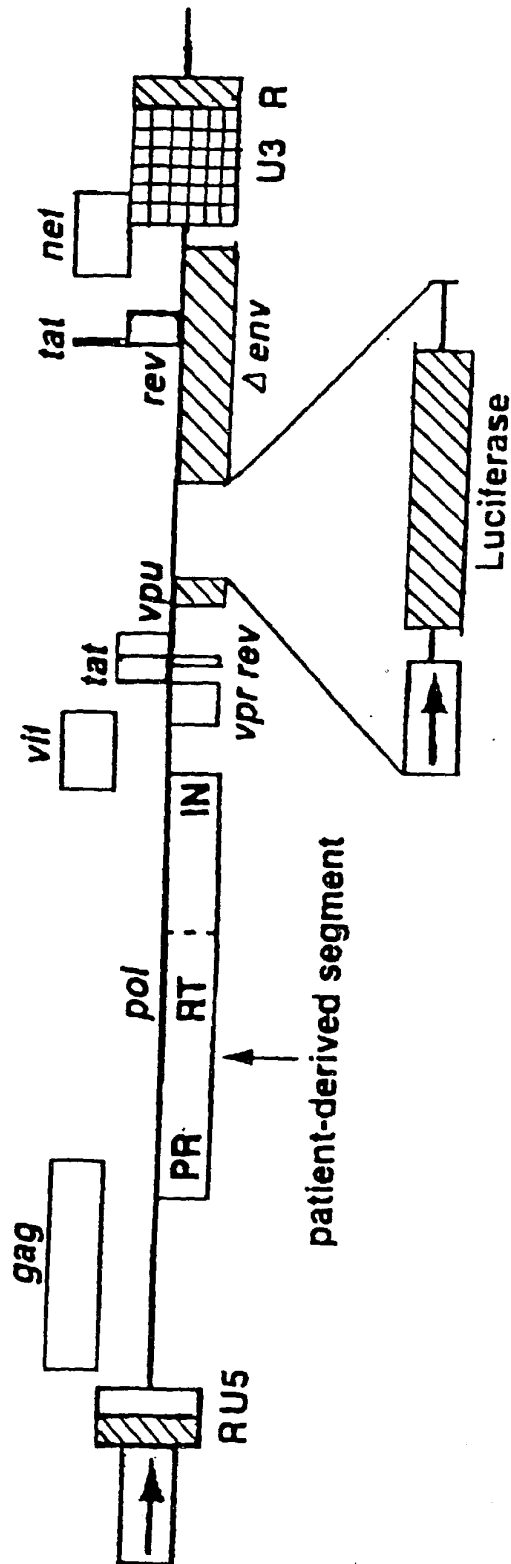
Applicants: Neil T. Parkin and Rainer Ziermann
 U. S. Serial No. 09/874,472
 Filing Date: June 4, 2001
 Title of the Invention: MEANS AND METHODS FOR
 MONITORING PROTEASE INHIBITOR
 ANTIRETROVIRAL THERAPY AND GUIDING
 THERAPEUTIC DECISIONS IN THE TREATMENT
 OF HIV/AIDS

Sheet 1 of 50

1/50

FIGURE 1

PhenoSense™ HIV Resistance Test Vector.





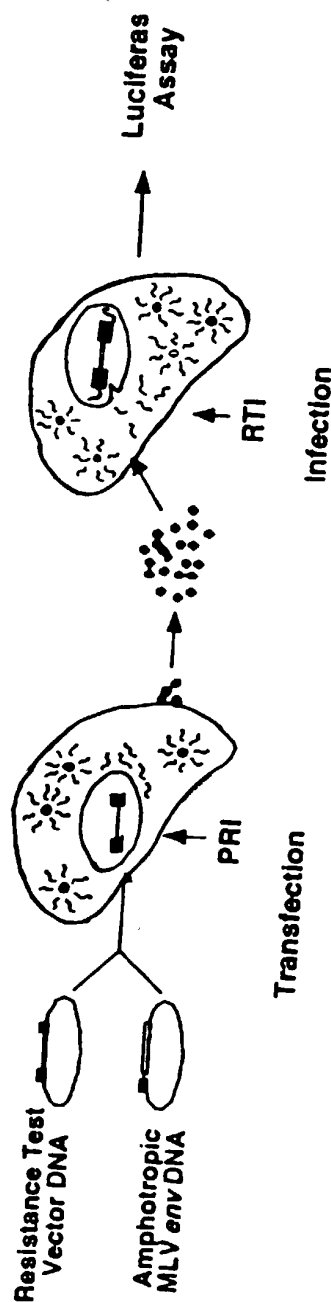
Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 2 of 50

2/50

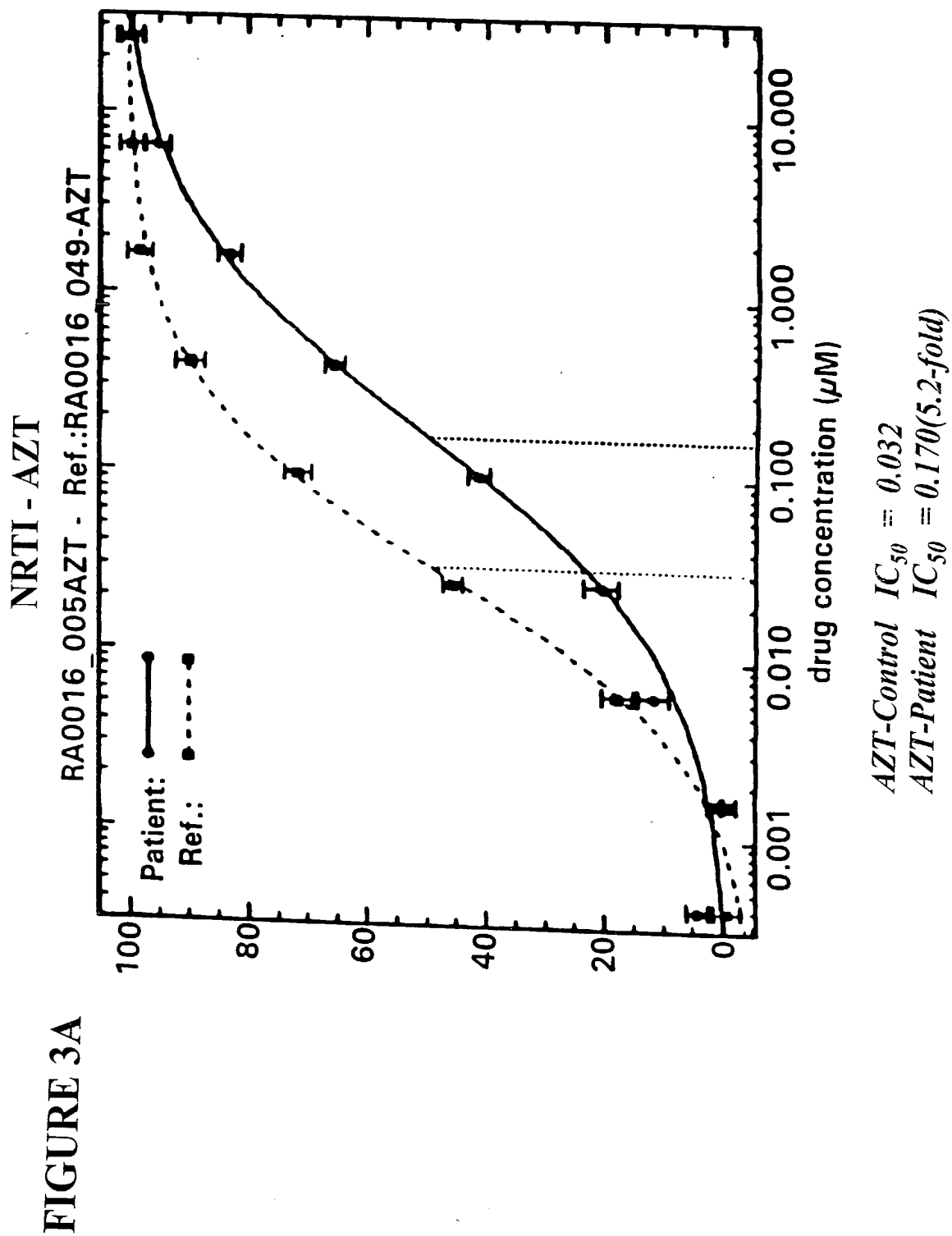
FIGURE 2

PhenoSense™ HIV Schematic Diagram.



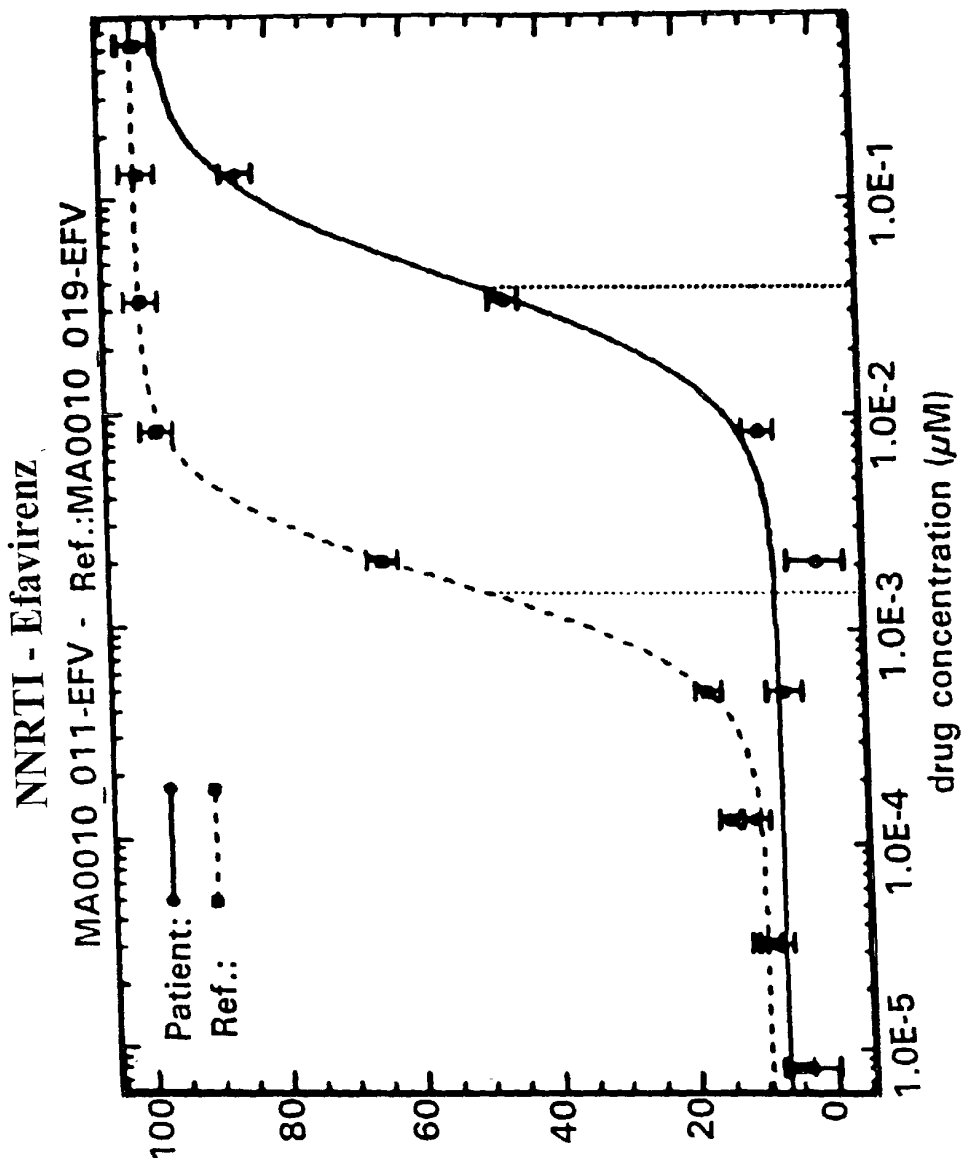


3/50





4/50

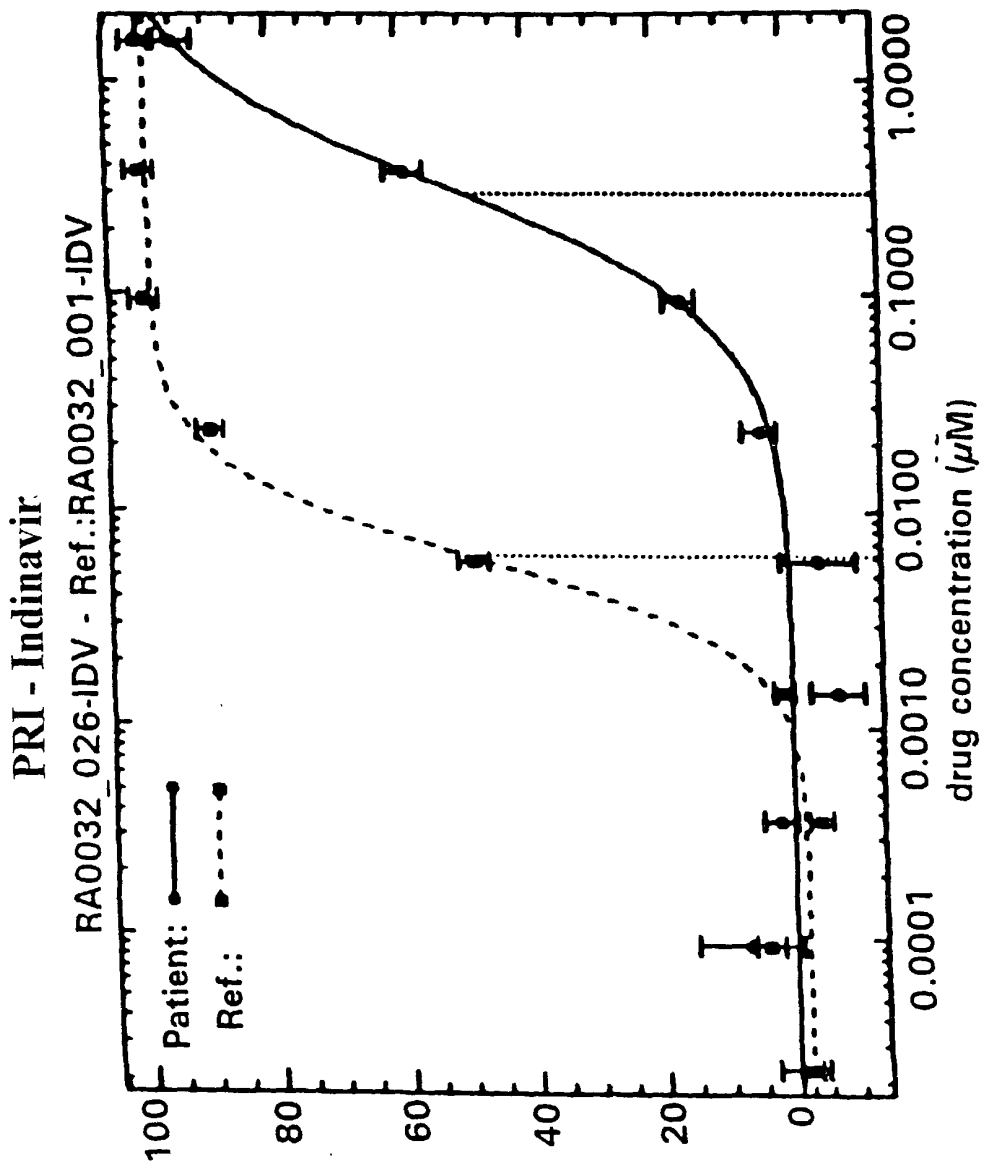


EFV-Control $IC_{50} = 0.0015$
EFV-Patient $IC_{50} = 0.0380$ (25.6-fold)

FIGURE 3B



5/50



IDV-Control $IC_{50} = 0.0062$
IDV-Patient $IC_{50} = 0.2935$ (47.4-fold)

FIGURE 3C



6/50

FIGURE 4A

SQV

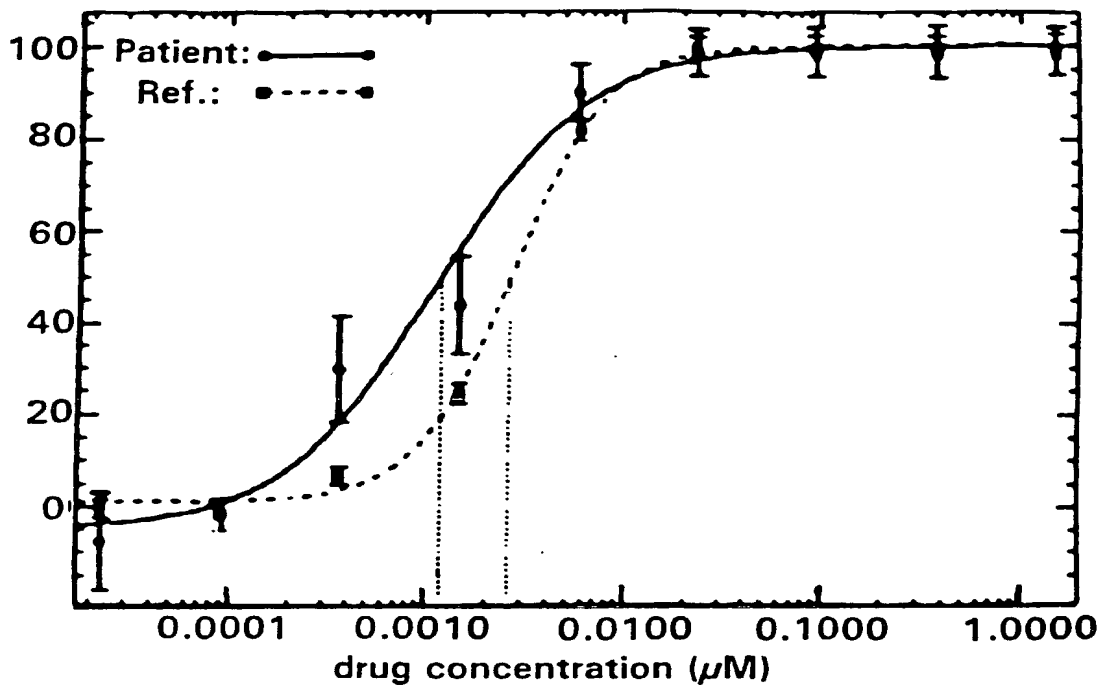
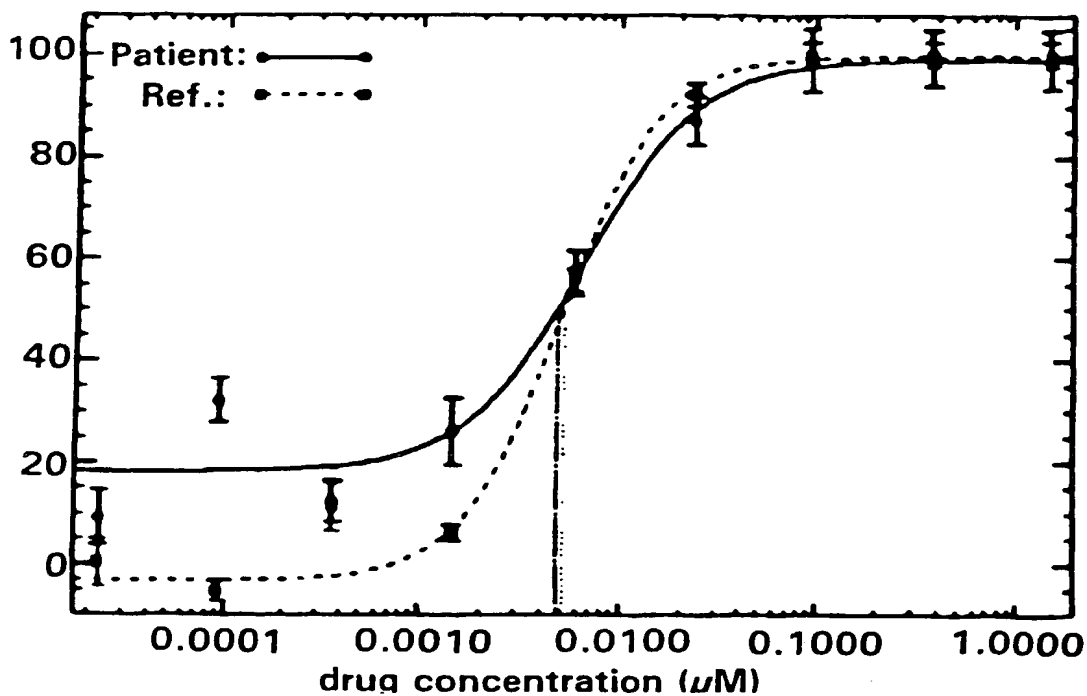


FIGURE 4B

IDV





7/50

FIGURE 4C

RTV

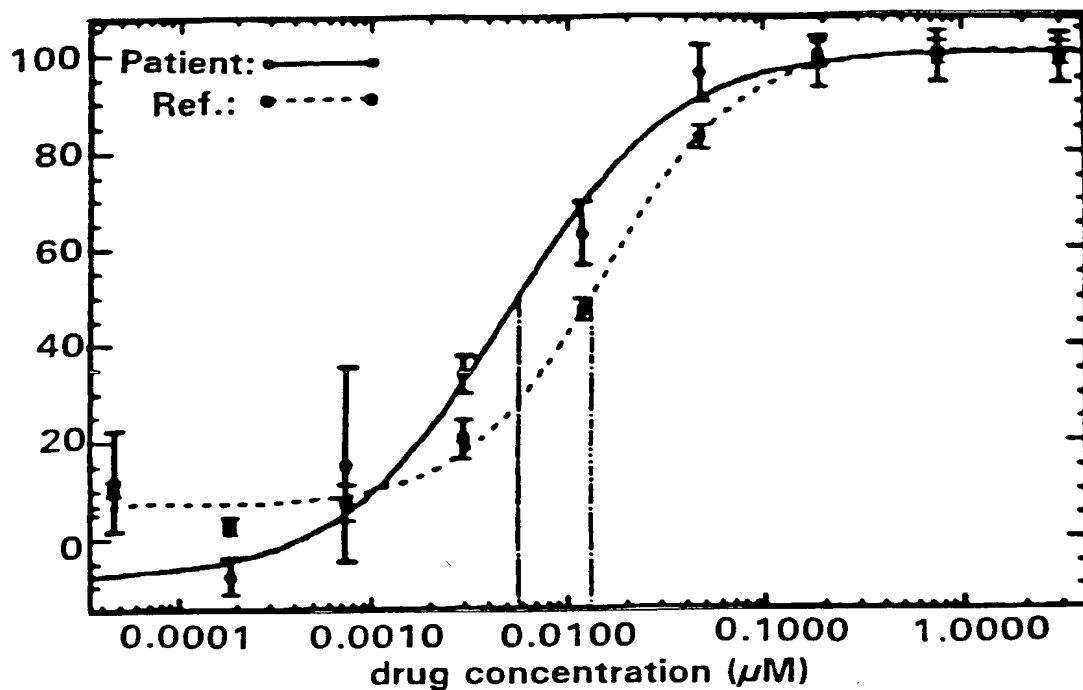
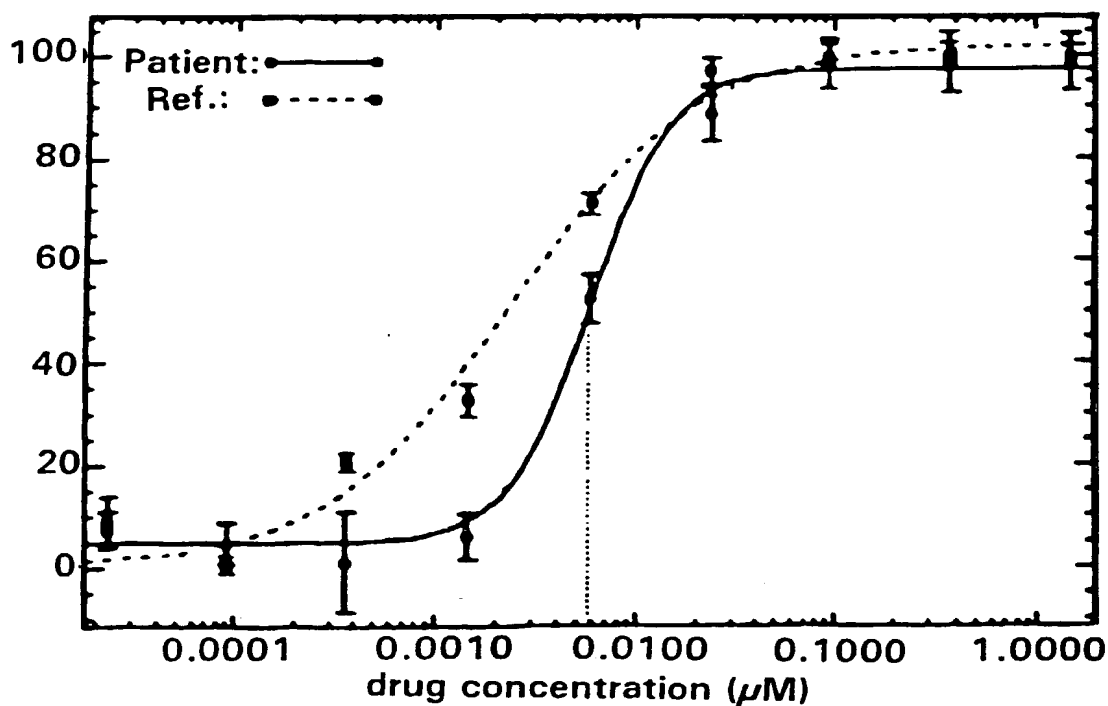


FIGURE 4D

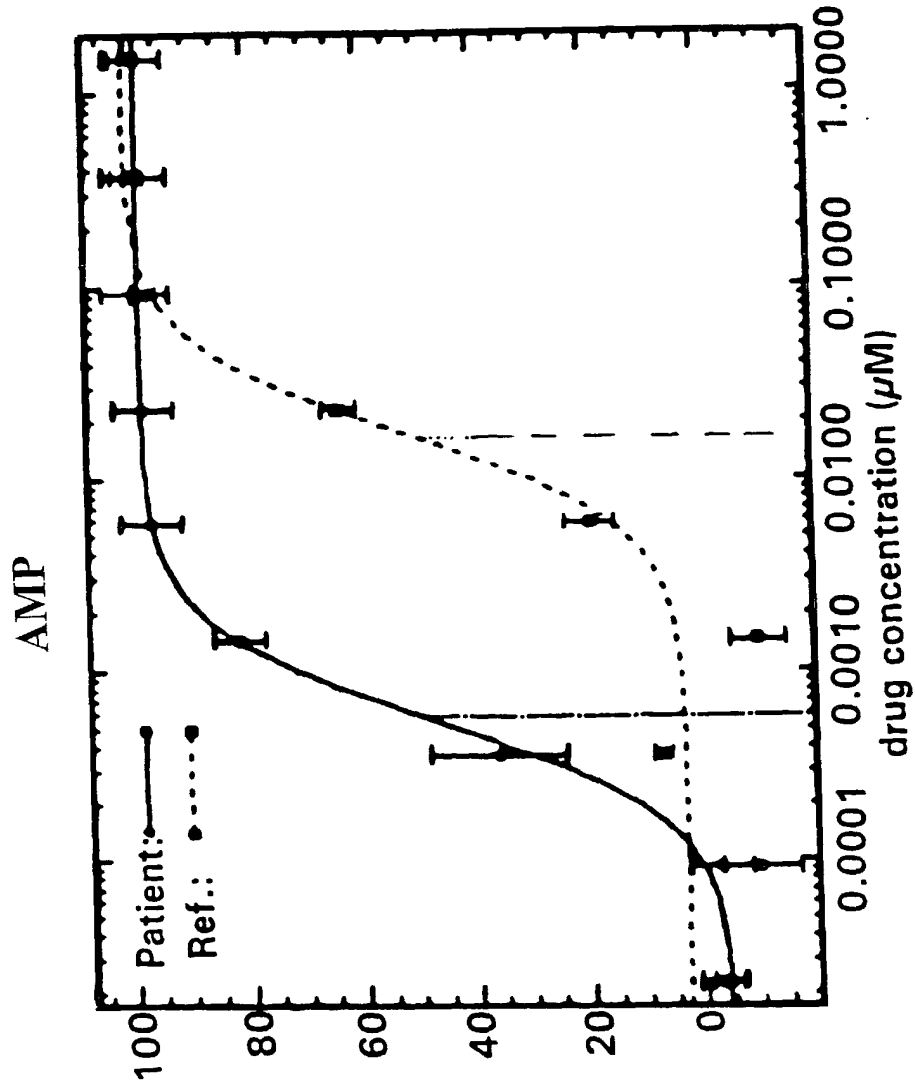
NFV





8/50

FIGURE 4E





9/50

FIGURE 5A

SQV

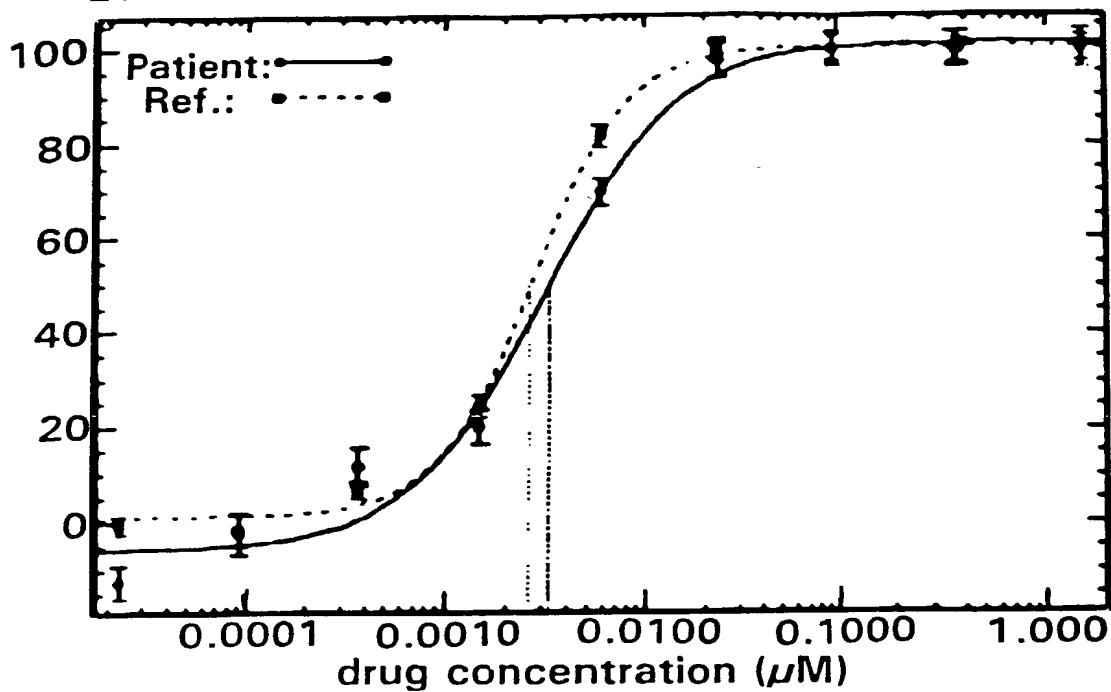
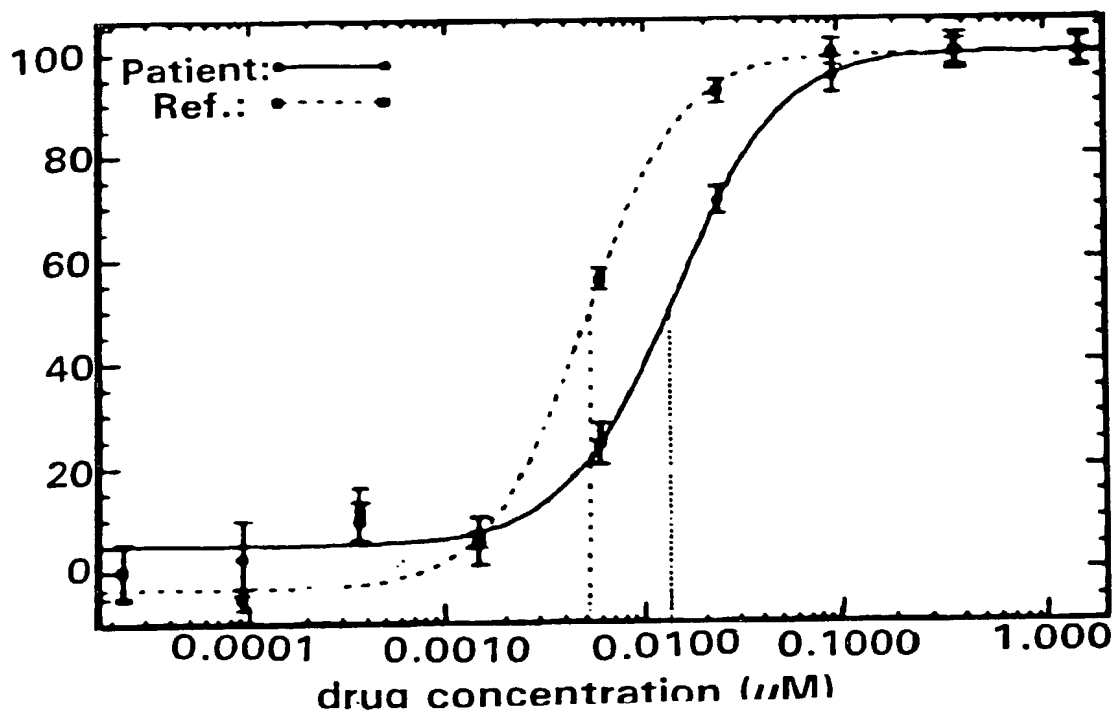


FIGURE 5B

IDV





10/50

FIGURE 5C

RTV

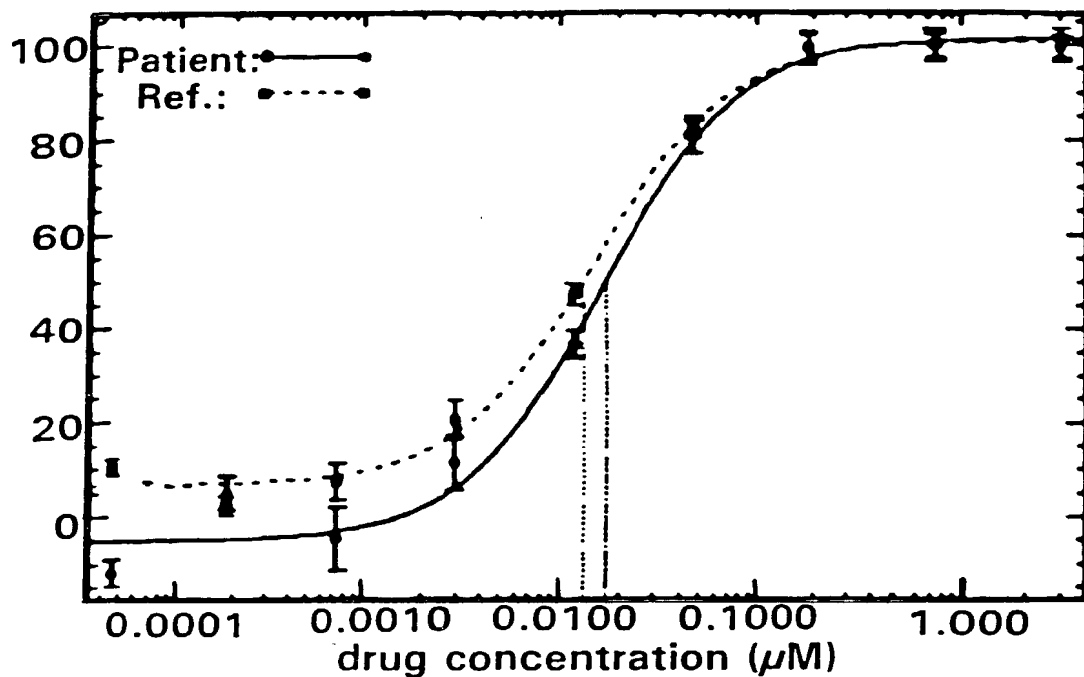
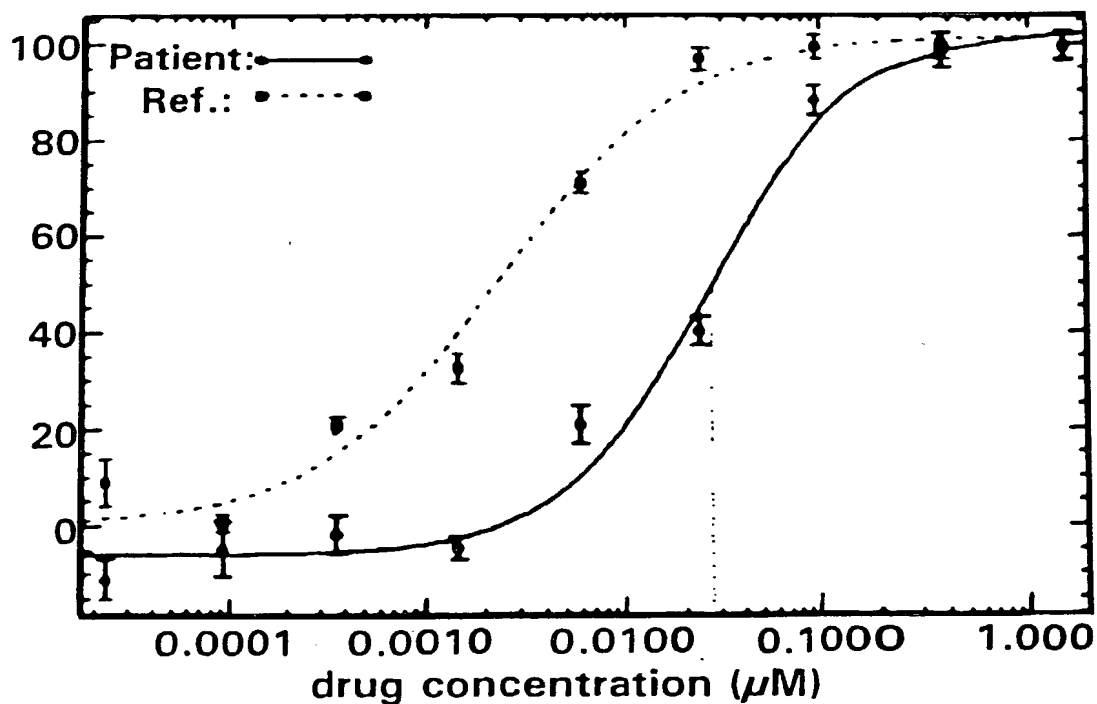


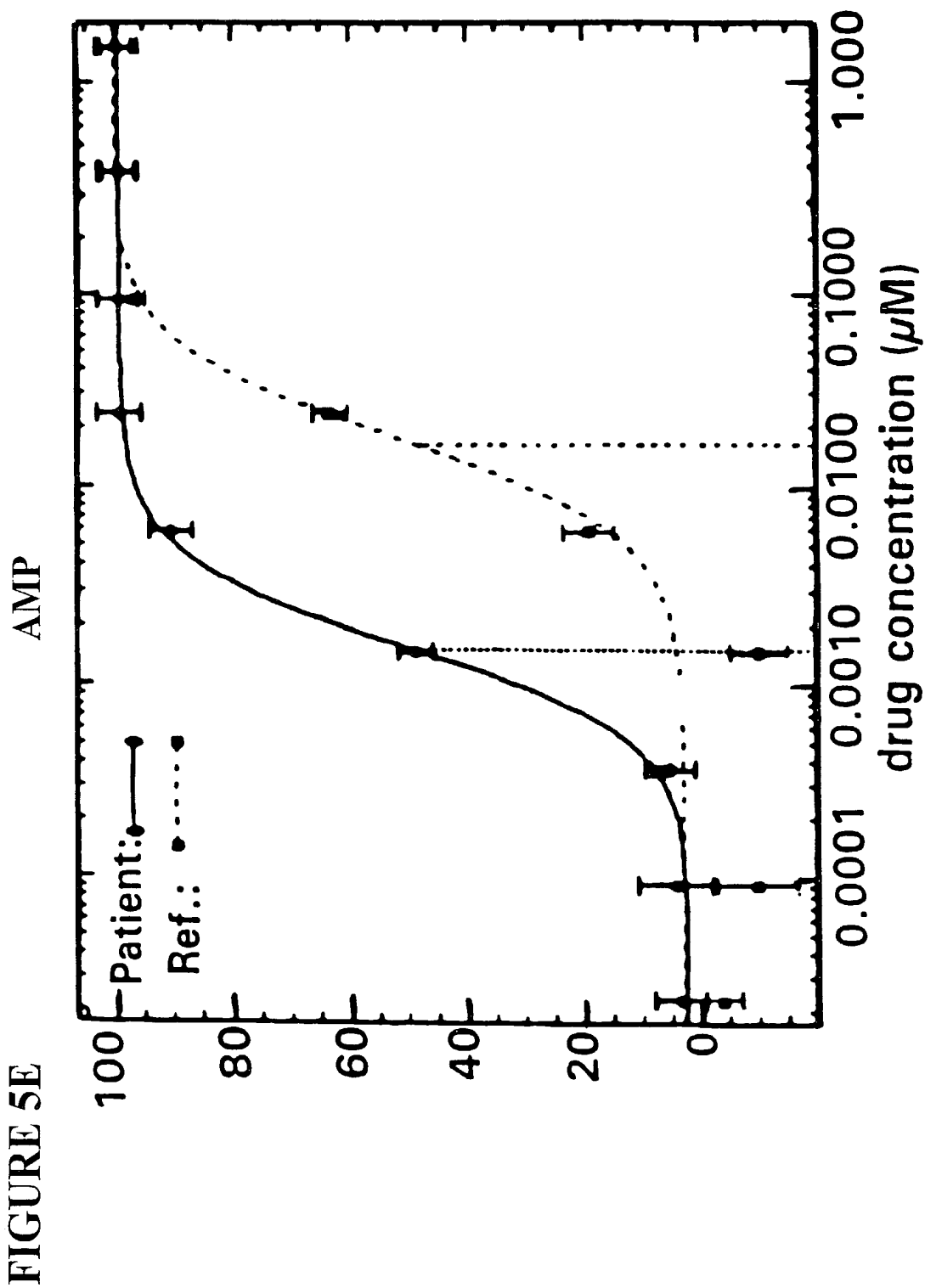
FIGURE 5D

NFV



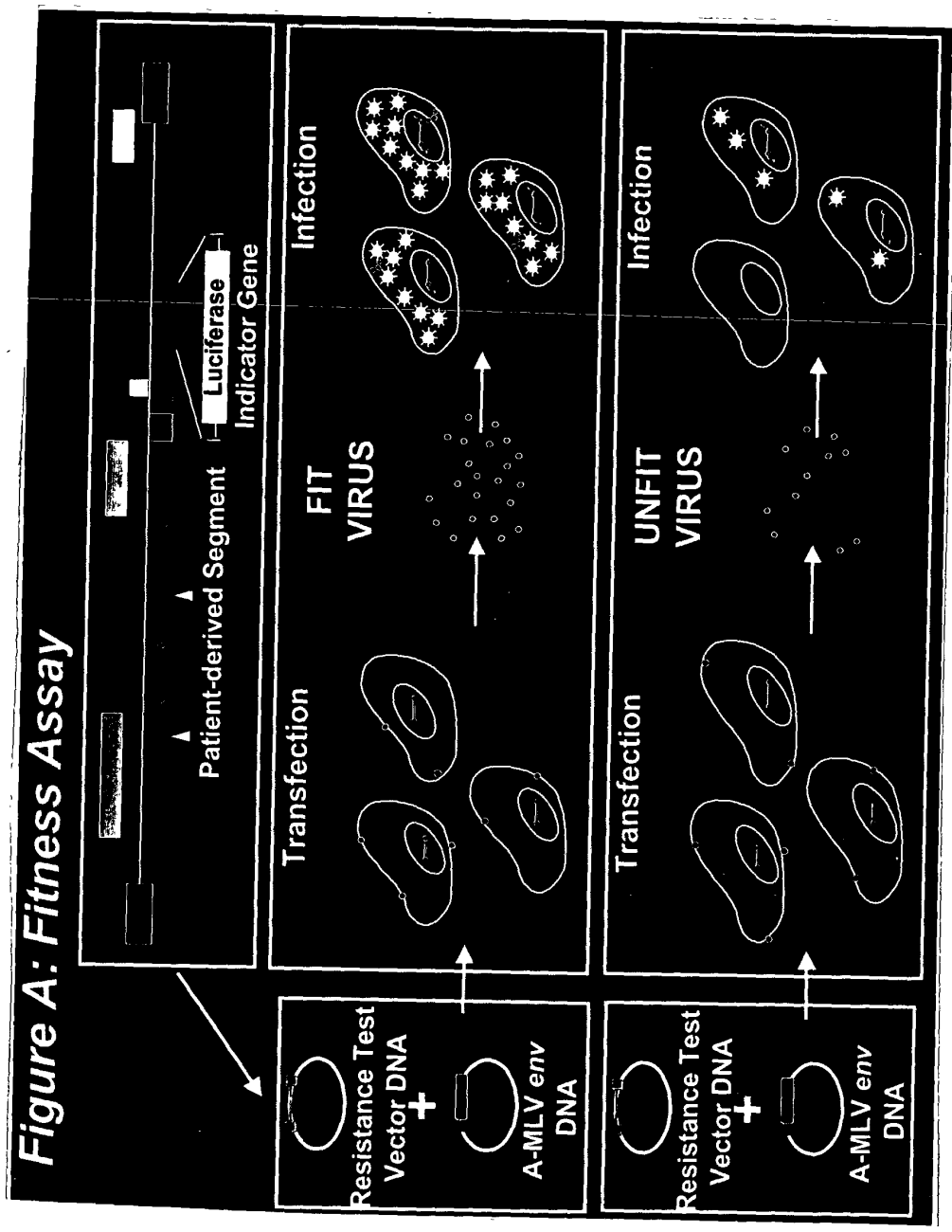


11/50,



12/50

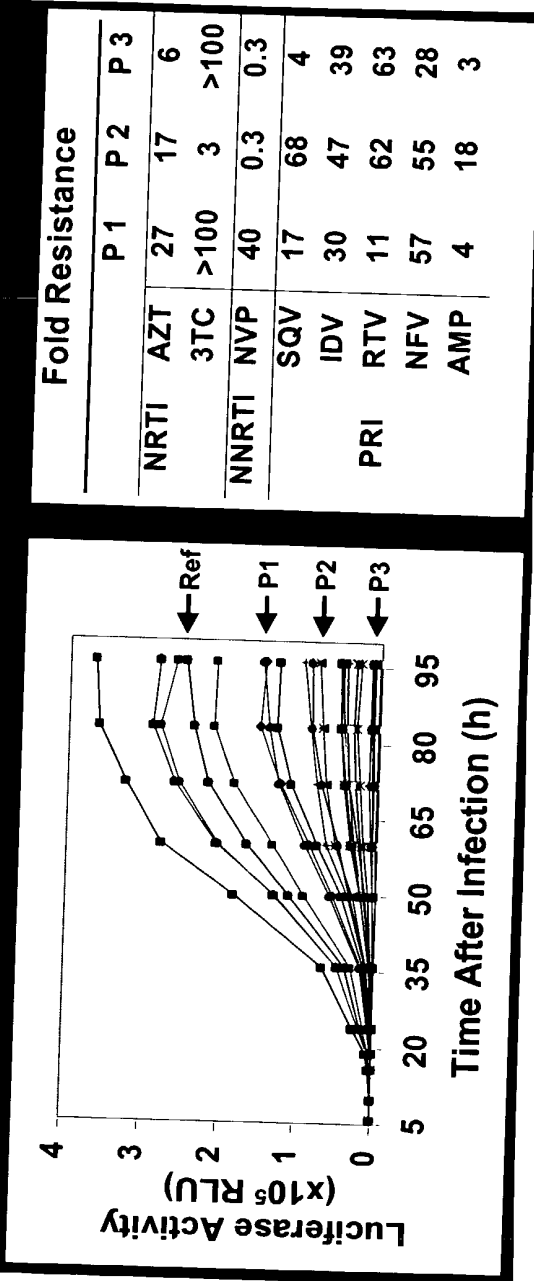
FIGURE 6A



13/50

FIGURE 6B

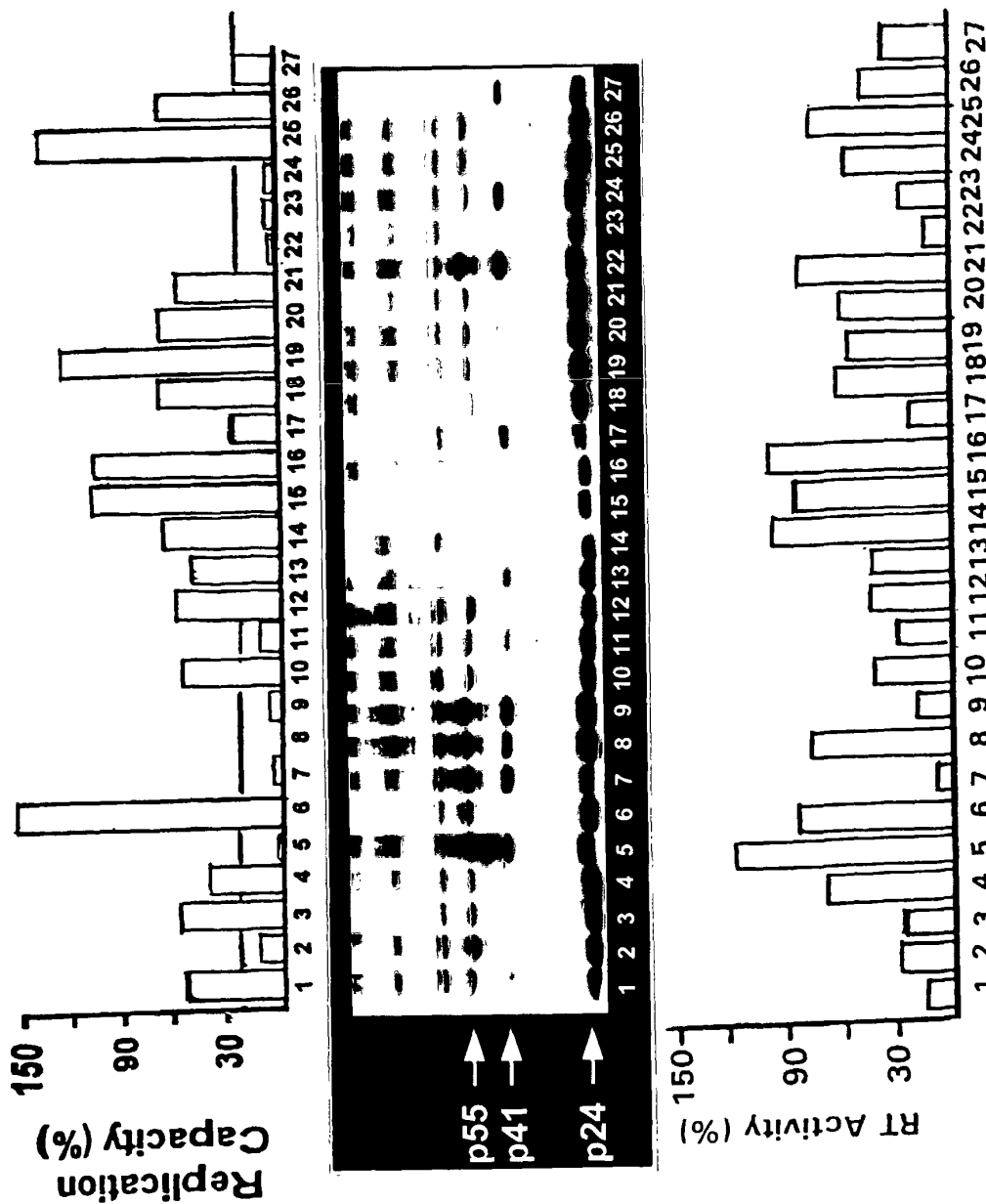
Figure B: Luciferase Activity in Infected Cells



14/50

FIGURE 6C

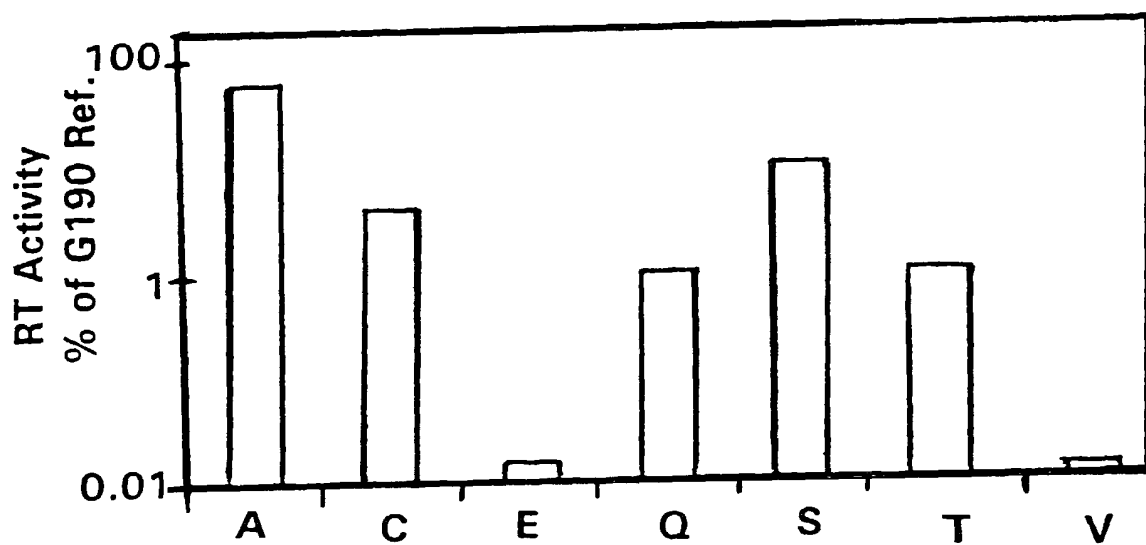
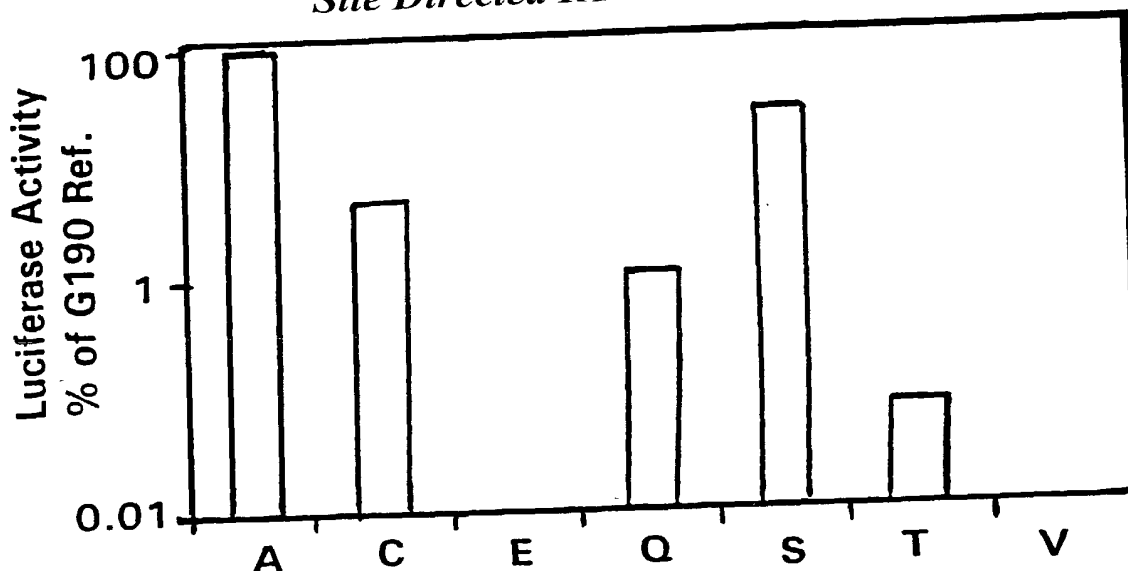
Replication Fitness, PR Processing, and RT Activity



15/50

FIGURE 6D

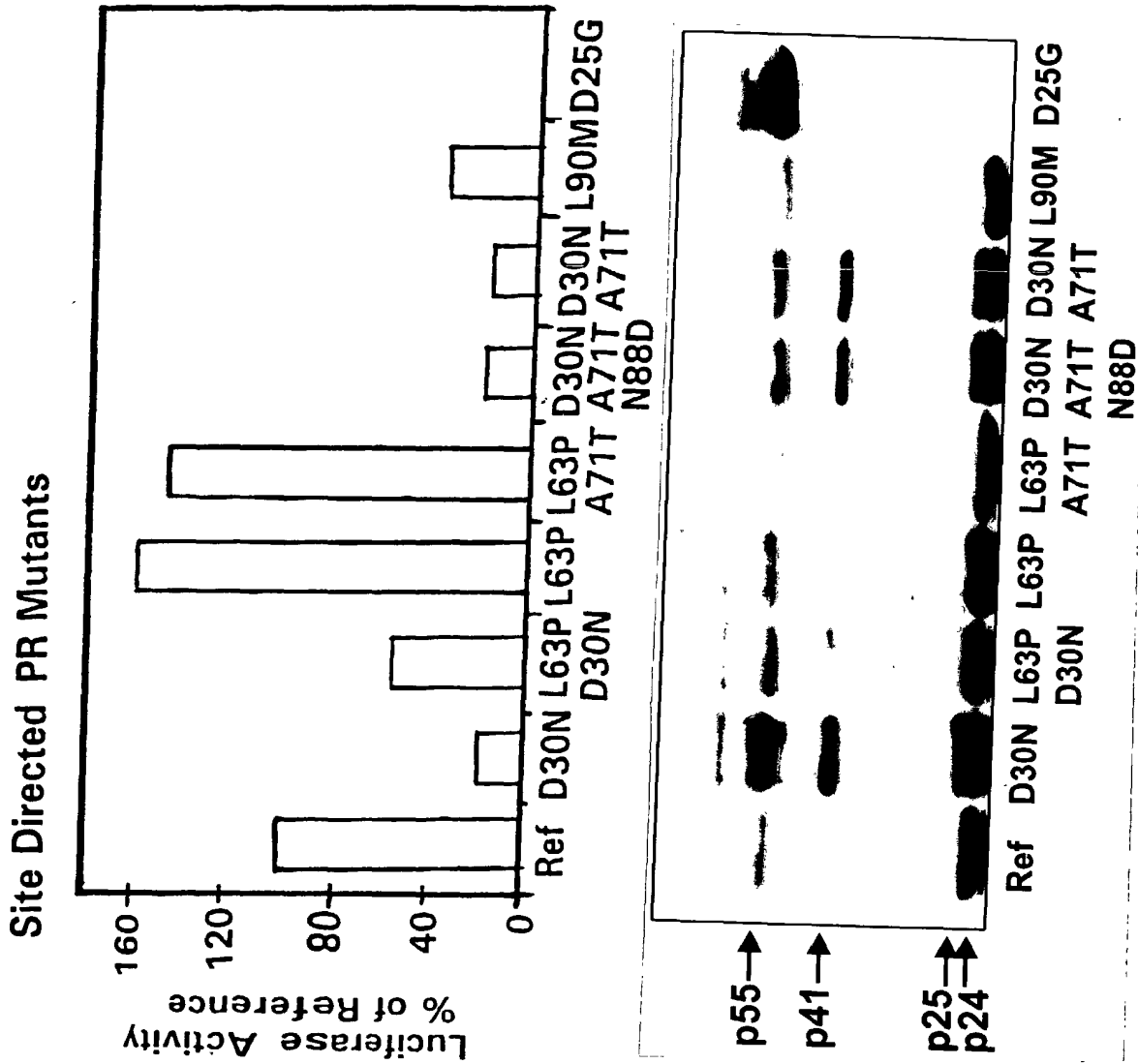
Site Directed RT Mutants (G 190 Series)



G 190 Mutants

A = Ala C = Cys
E = Glu Q = Gln
S = Ser T = Thr

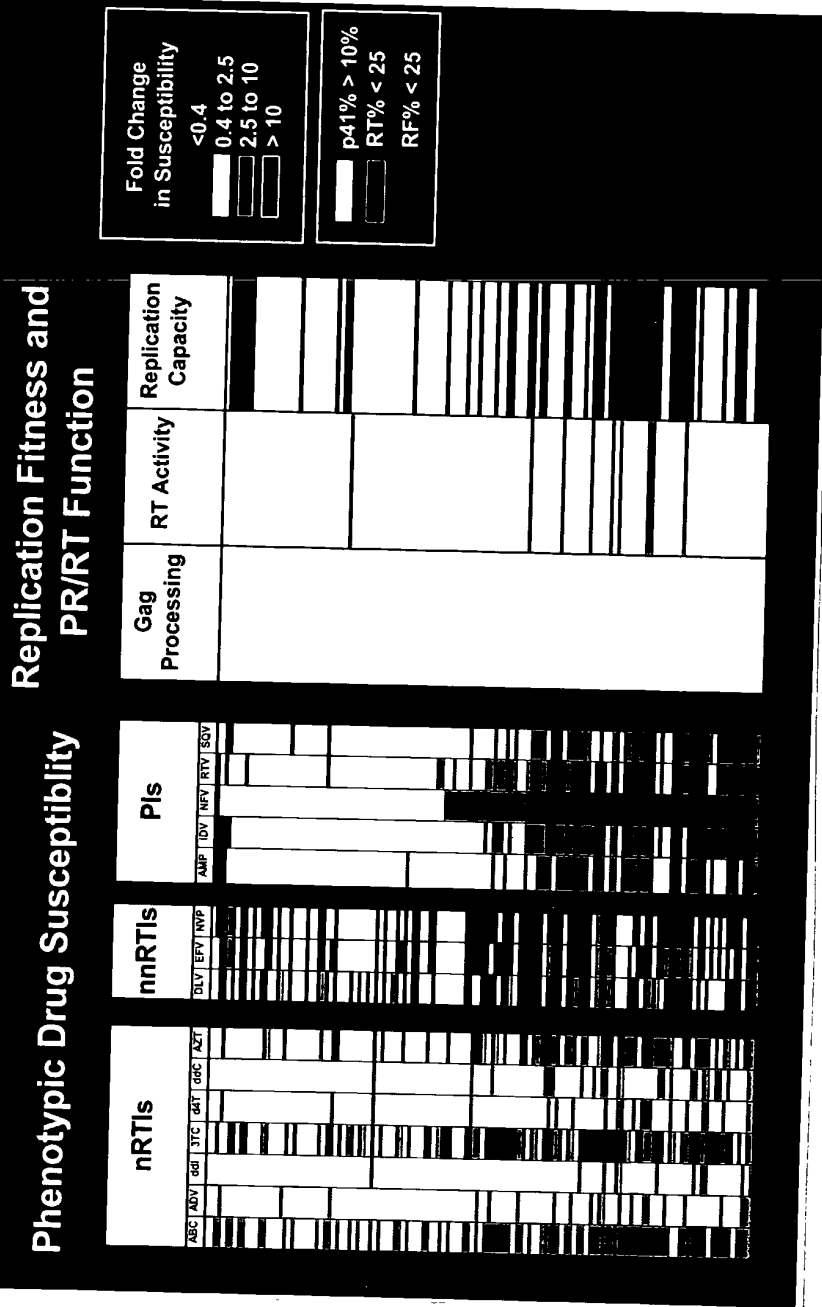
16/50



17/50

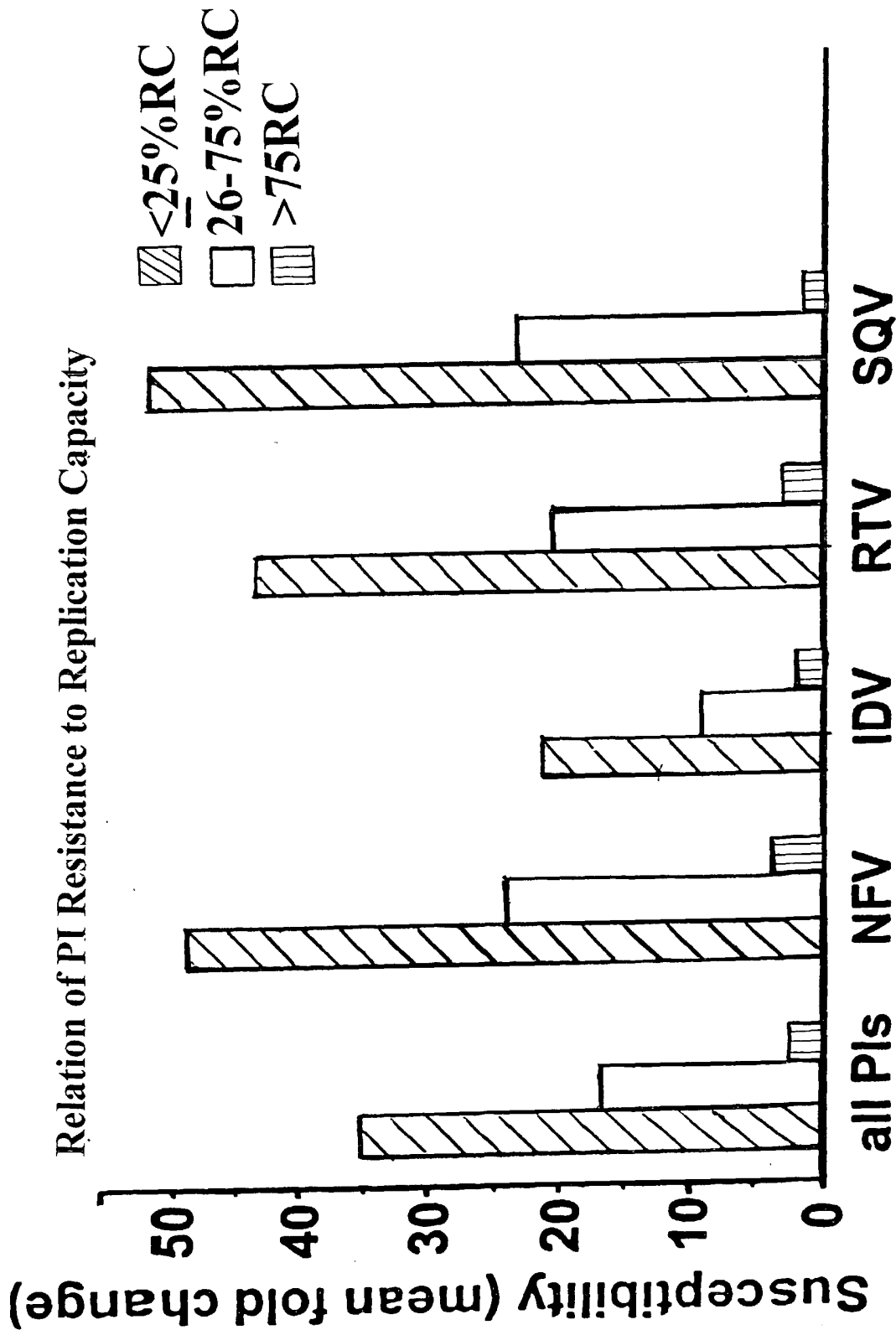
FIGURE 6F

**Figure F: Phenotypic Drug Susceptibility,
 Replication Fitness and PR/RT Function**



18/50

FIGURE 6G





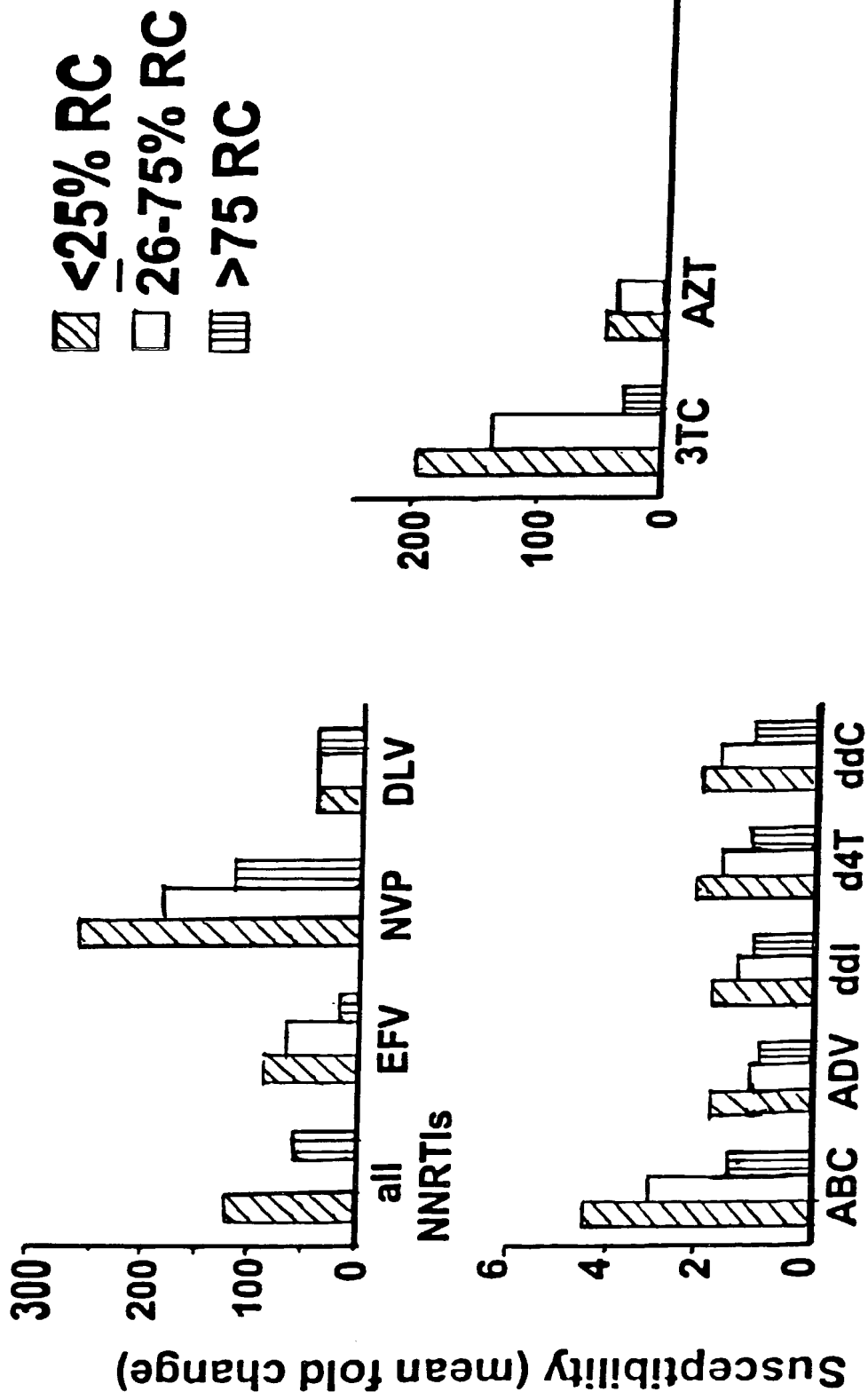
Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 19 of 50

19/50

FIGURE 6H

Relation of NRTI and NNRTI Resistance to Replication Capacity





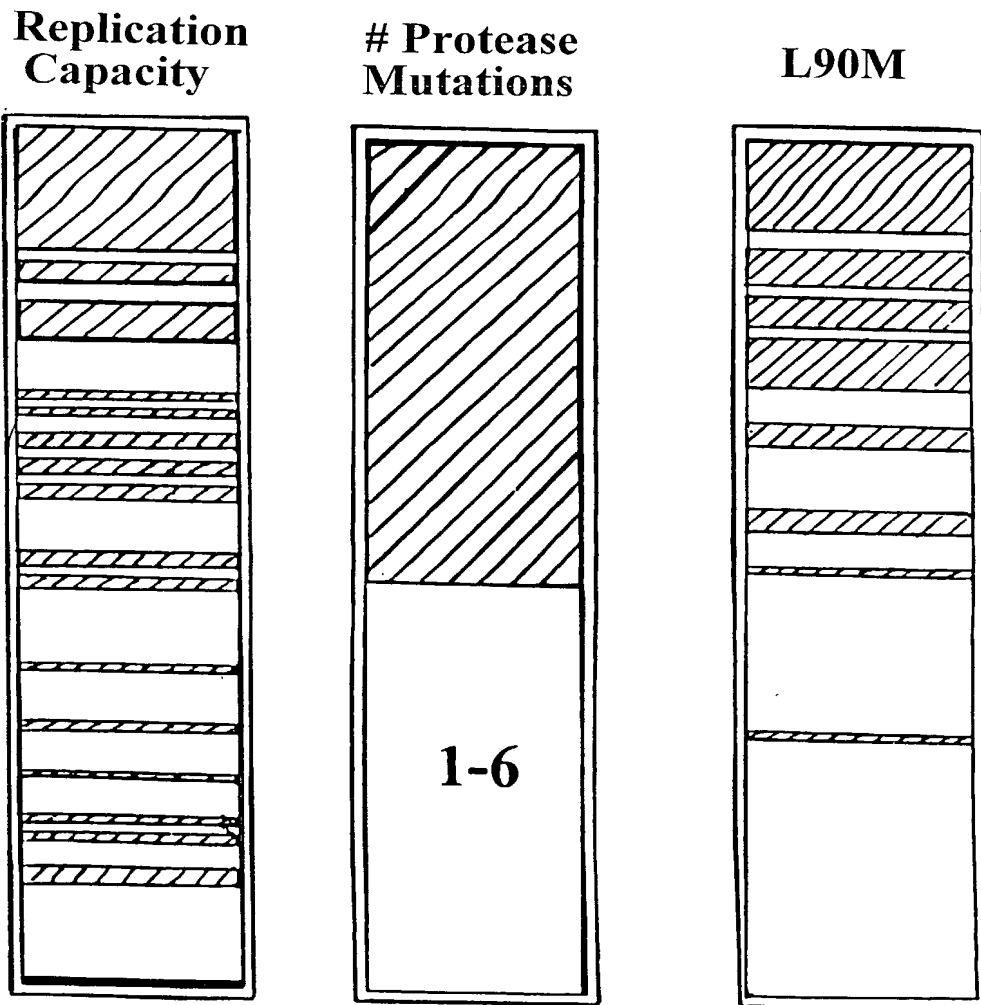
Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 20 of 50

20/50

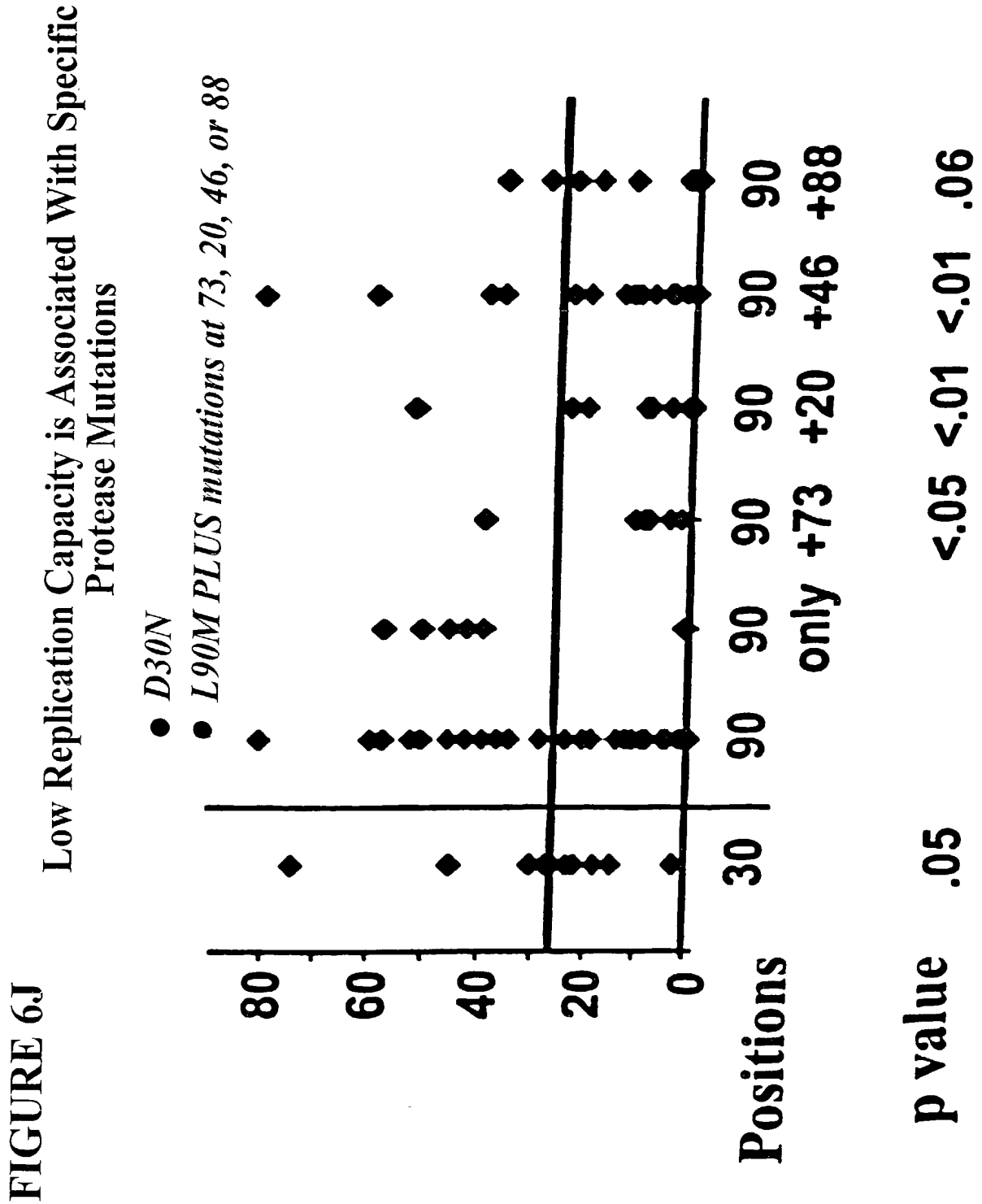
FIGURE 6I

**Low Replication Capacity is Associated with High
Numbers of Mutations in Protease and L90M**





21/50





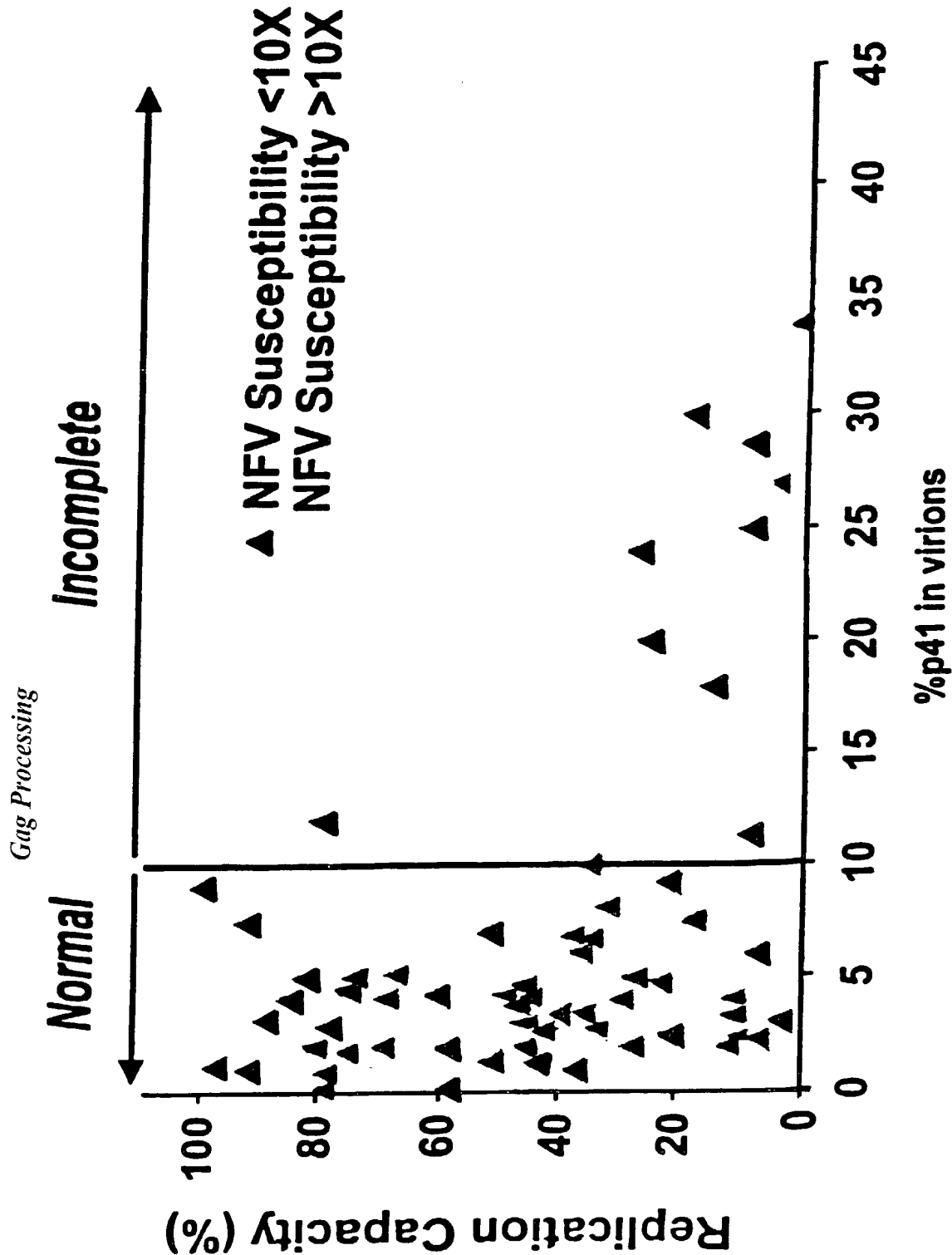
Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 22 of 50

22/50

*Relation of NFV Phenotypic Drug Susceptibility, gag Processing and
Replication Fitness*

FIGURE 6K





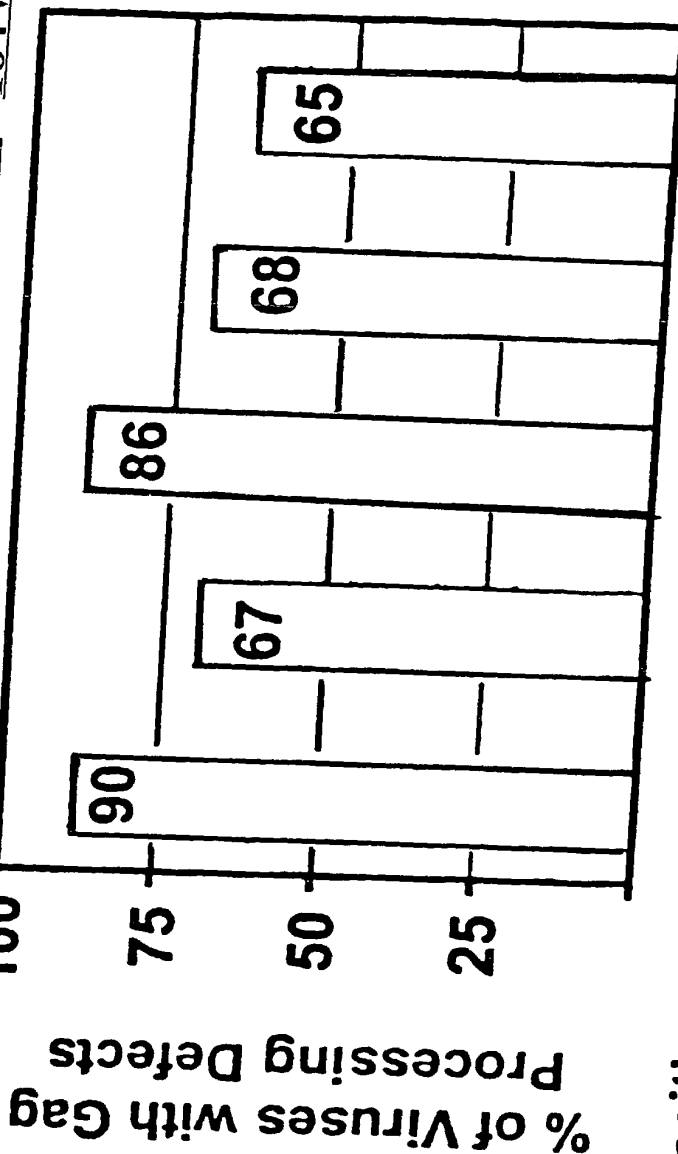
Applicants : Neil T. Parkin and Rainer Ziermann
 U. S. Serial No. 09/874,472
 Filing Date: June 4, 2001
 Title of the Invention: MEANS AND METHODS FOR
 MONITORING PROTEASE INHIBITOR
 ANTIRETROVIRAL THERAPY AND GUIDING
 THERAPEUTIC DECISIONS IN THE TREATMENT
 OF HIV/AIDS

Sheet 23 of 50

23/50

FIGURE 6L
Mutations in PR Associated with Gag Processing Defects

D30N M46I/L G48V 154L/A/S/T/V 184V



Position	30	46	48	54	84
p value	<0.1%	<0.1%	<1%	<0.1%	<1%
n	10	24	7	19	17



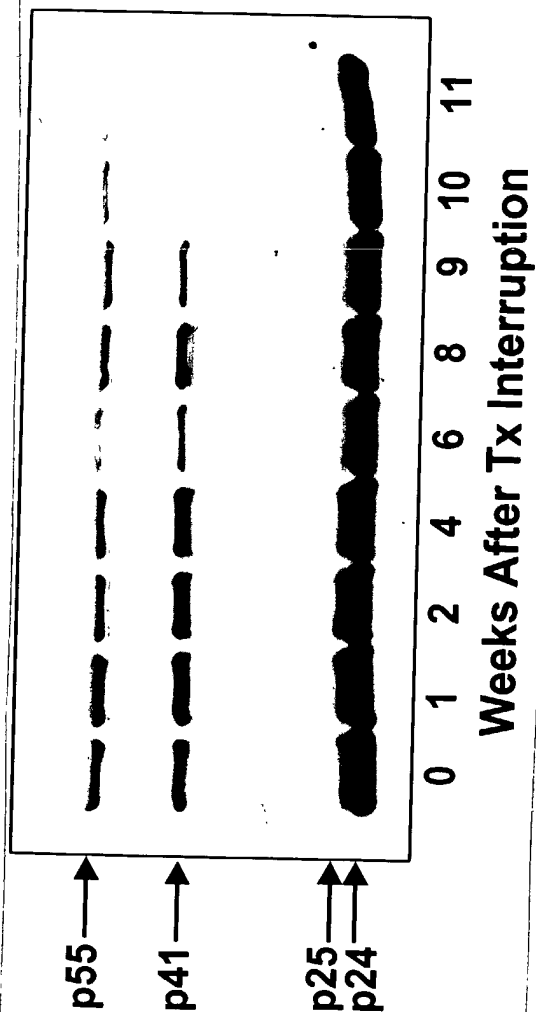
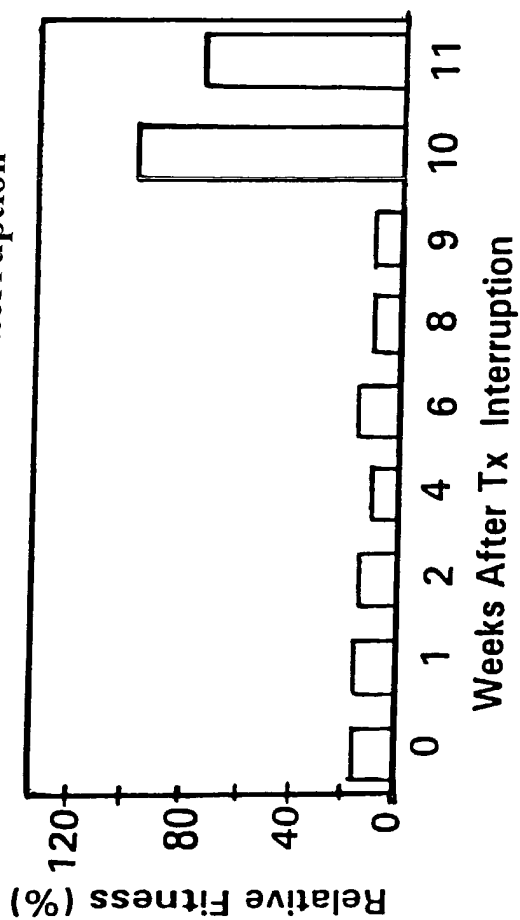
24/50

FIGURE 6M

WEEK	NRTI				NNRTI				PI			
	AZT	3TC	D4T	ABC	NVP	DLV	EFV	SQV	IDV	RTV	NFV	AMP
day 0	3.7	>100	2.8	19	>300	88	115	85	72	73	74	16
1	4.5	>100	3.3	20	>300	78	134	95	74	59	80	21
2	5.8	>100	3.2	14	>300	75	142	89	77	49	59	19
3	6.5	>100	2.7	15	>300	96	183	59	75	52	51	15
4	6.3	>100	3.1	15	>300	94	174	59	68	50	49	15
5	6.4	>100	3.0	17	>300	76	119	59	60	54	36	10
6	5.0	>100	2.8	19	>300	93	168	89	39	80	40	18
7	9.1	>100	4.1	12	>300	89	154	85	78	53	53	19
9	2.8	8.1	1.9	5.0	22	15	10	1.8	3.5	4.7	4.0	2.0
10	1.5	1.7	1.1	1.3	1.7	2.0	1.6	0.9	1.6	1.9	1.8	1.6
11	0.9	1.2	1.0	1.2	0.8	1.1	0.9	1.0	1.1	1.1	1.1	1.0
12	0.8	1.3	0.8	1.2	0.5	1.0	0.8	0.8	0.8	0.9	1.1	0.8
23	0.7	1.1	1.0	0.6	0.8	1.1	0.8	0.8	0.8	1.0	0.9	0.6

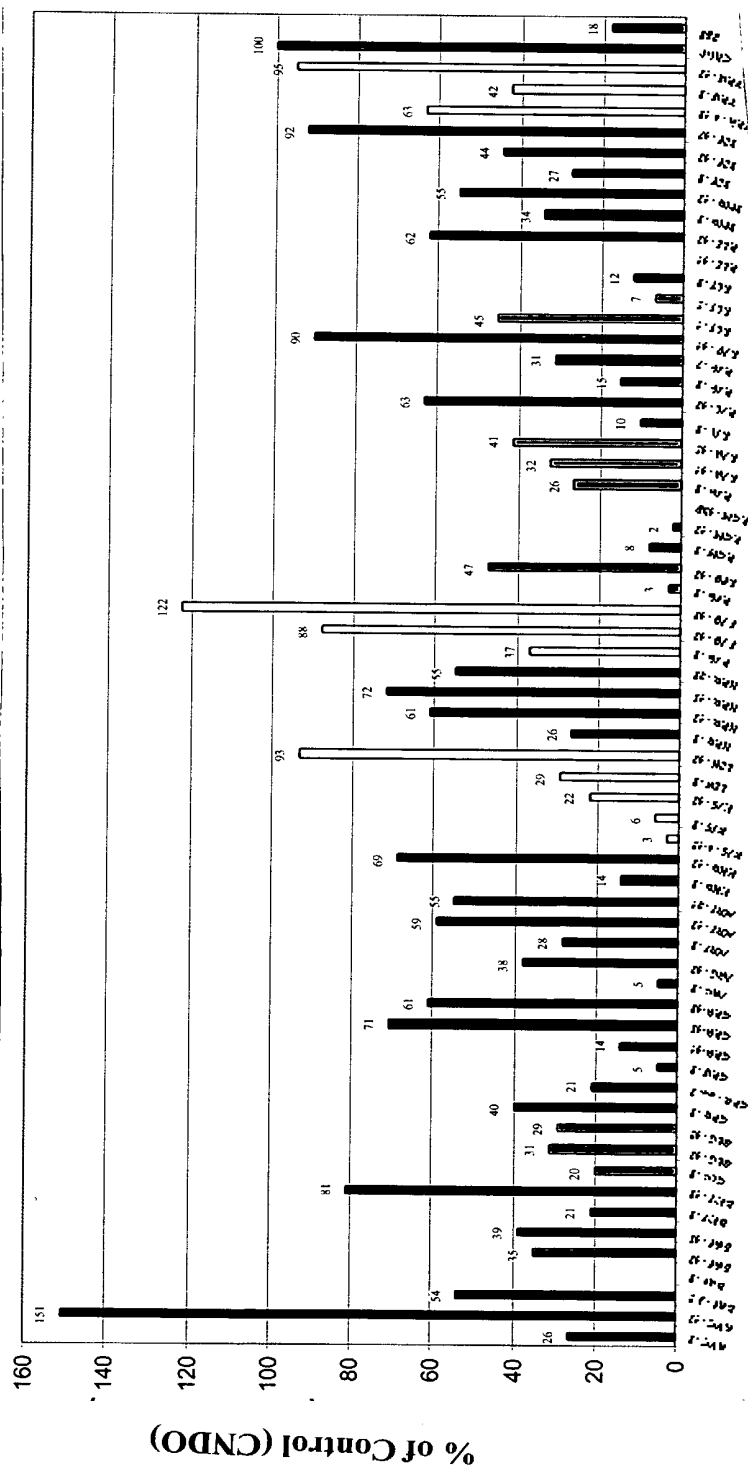
Patient Virus Reversion to Drug Susceptibility After Treatment Interruption

Patient Virus Reversion to Normal Replication Fitness after Treatment Interruption



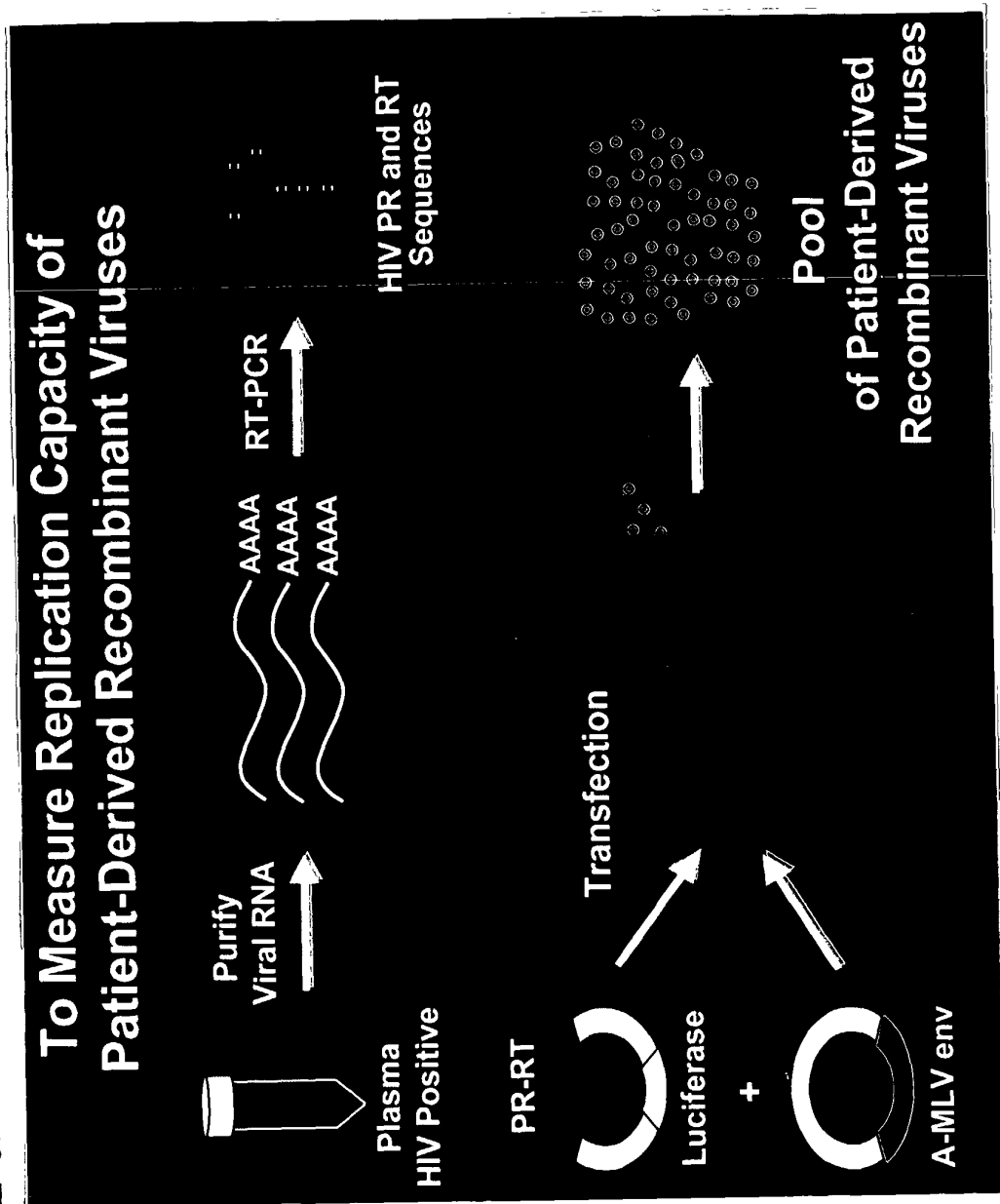
26/50

FIGURE 60
Fitness on GCRC STI Samples (wk 0 and 12)-Assay#2
RLU corrected for p24 input (% of control)



Patient post STI

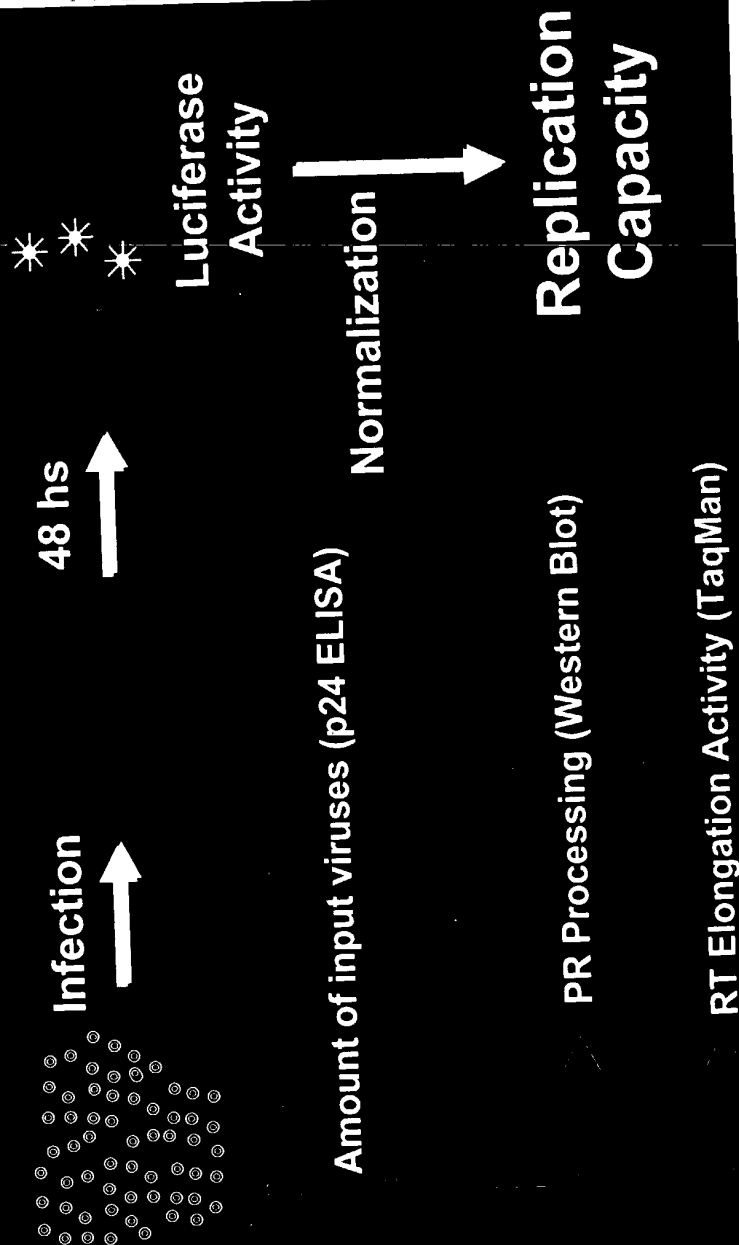
FIGURE 6P



27/50

FIGURE 6Q

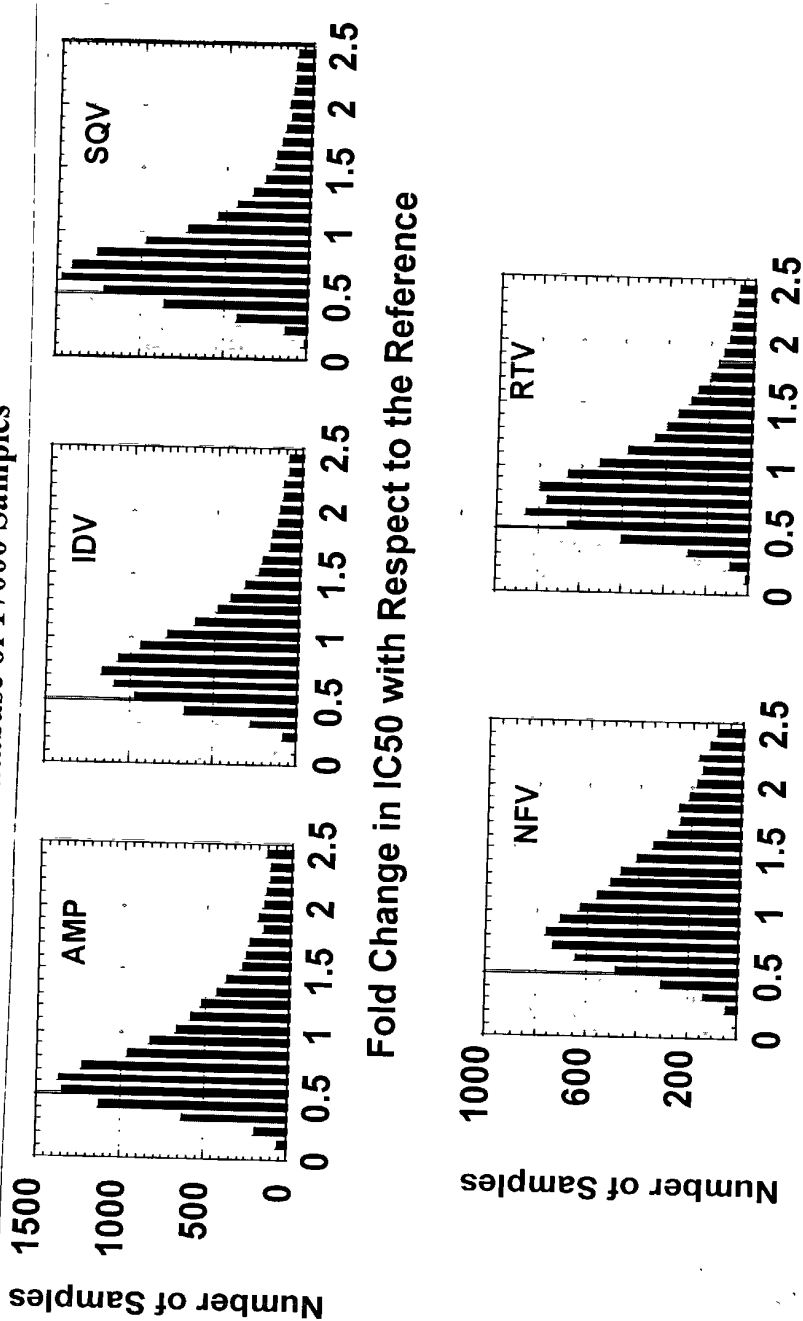
**To Measure Replication Capacity of
Patient-Derived Recombinant Viruses**



28/50

09874472 101702

FIGURE 6



30/50

FIGURE 7

Fold Change Susceptibility
 20 Randomly Selected Patient Viruses with HS to PIs

PR Inhibitors														
RT Inhibitors														
Sample	ABC	ddI	3TC	d4T	ddC	ZDV	DLV	EFV	NVP	AMP	IDV	NFV	RTV	SQV
1	3.2	2.2	>300	0.9	1.7	1.2	0.9	41.9	>700	0.4	0.6	1.1	0.4	0.3
2	1.0	1.0	1.3	1.1	1.1	0.7	1.2	0.8	0.8	0.6	0.3	0.7	0.2	0.3
3	3.1	1.7	>300	0.9	nd	0.7	nd	1.1	0.8	0.2	0.4	0.6	0.4	0.3
4	3.3	1.9	>300	1.0	2.4	1.2	62.9	101	429	0.2	0.4	0.6	0.4	0.2
5	3.6	2.2	5.0	1.7	3.2	0.6	>190	>320	>700	0.2	0.4	0.6	0.5	0.3
6	7.5	1.4	>300	1.4	2.1	22.9	12.8	135	>700	0.5	0.5	0.6	0.4	0.4
7	8.5	1.9	>300	3.7	5.4	73.9	30.6	>320	>700	0.3	0.4	0.6	0.3	0.4
8	2.7	1.6	>300	1.0	1.8	1.1	>190	89.3	>700	0.4	0.4	0.5	0.6	0.4
9	2.0	1.1	>300	0.7	1.3	0.8	8.0	72.1	165	0.3	0.4	0.5	0.3	0.5
10	2.4	1.7	>300	1.2	1.9	0.6	71.5	38.7	109	0.4	0.4	0.4	0.4	0.4
11	2.8	1.5	>300	0.7	1.7	0.4	30.9	94.9	193	0.4	0.4	0.4	0.5	0.4
12	3.4	1.1	>300	1.0	2.1	0.7	3.2	2.0	2.6	0.3	0.5	0.4	0.5	0.4
13	3.1	2.1	>300	1.1	3.8	0.6	2.4	1.1	1.5	0.3	0.3	0.4	0.3	0.3
14	1.6	1.1	2.0	0.9	1.5	0.9	>190	60.4	>700	0.2	0.3	0.3	0.2	0.2
15	1.2	1.0	1.2	1.1	1.2	1.7	1.2	1.2	1.2	0.2	0.4	0.3	0.4	0.6
16	2.8	1.3	3.5	1.2	1.2	14.3	21.9	12.4	71.8	0.2	0.3	0.2	0.2	0.4
17	3.0	2.0	>300	1.2	1.8	2.0	11.3	22.1	160	0.2	0.2	0.2	0.2	0.2
18	3.9	1.4	>300	1.6	1.5	3.1	0.2	0.2	0.3	0.2	0.2	0.2	0.2	0.3
19	3.1	1.1	49.5	1.6	1.5	6.9	13.4	9.9	33.2	0.3	0.2	0.2	0.2	0.2
20	0.9	1.2	1.3	0.9	0.8	1.0	0.8	0.6	0.6	0.3	0.3	0.2	0.3	0.3

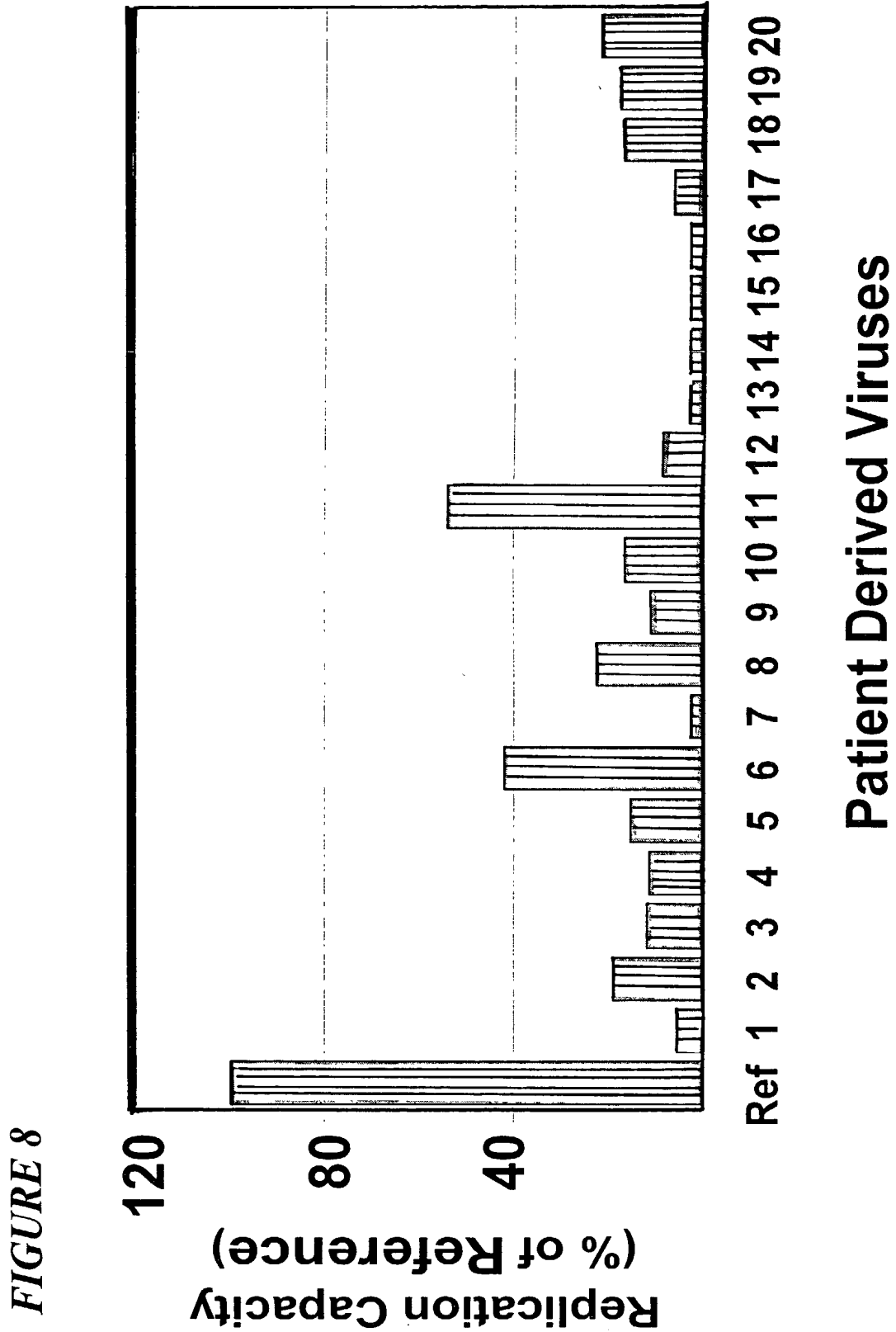
0 - 0.4

0.4 - 2.5

2.5 - 10

> 10

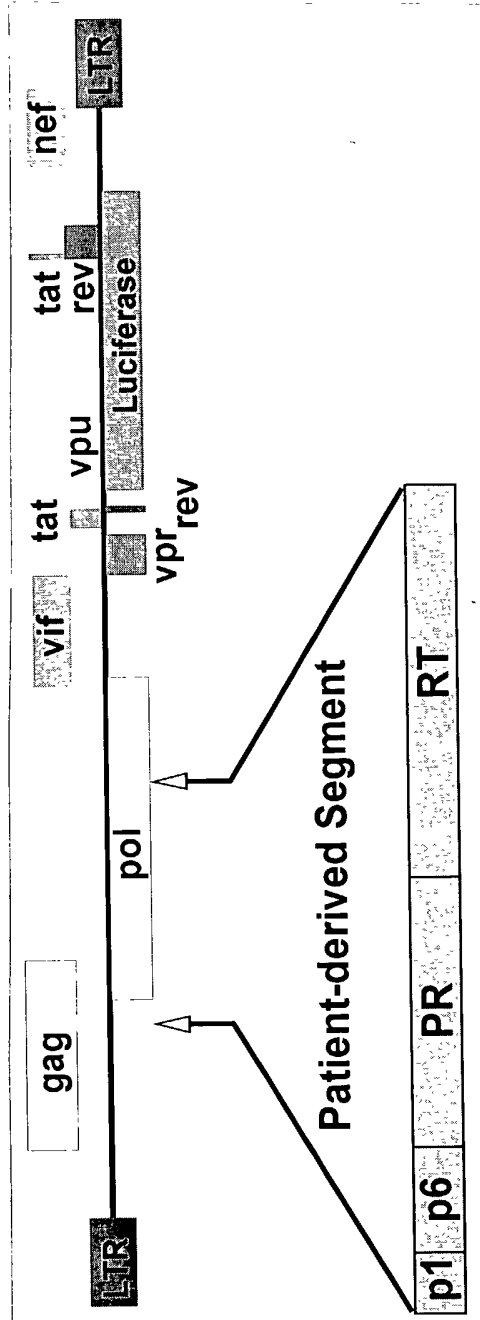
0 - 0.4 0.4 - 2.5 2.5 - 10 > 10



32/50

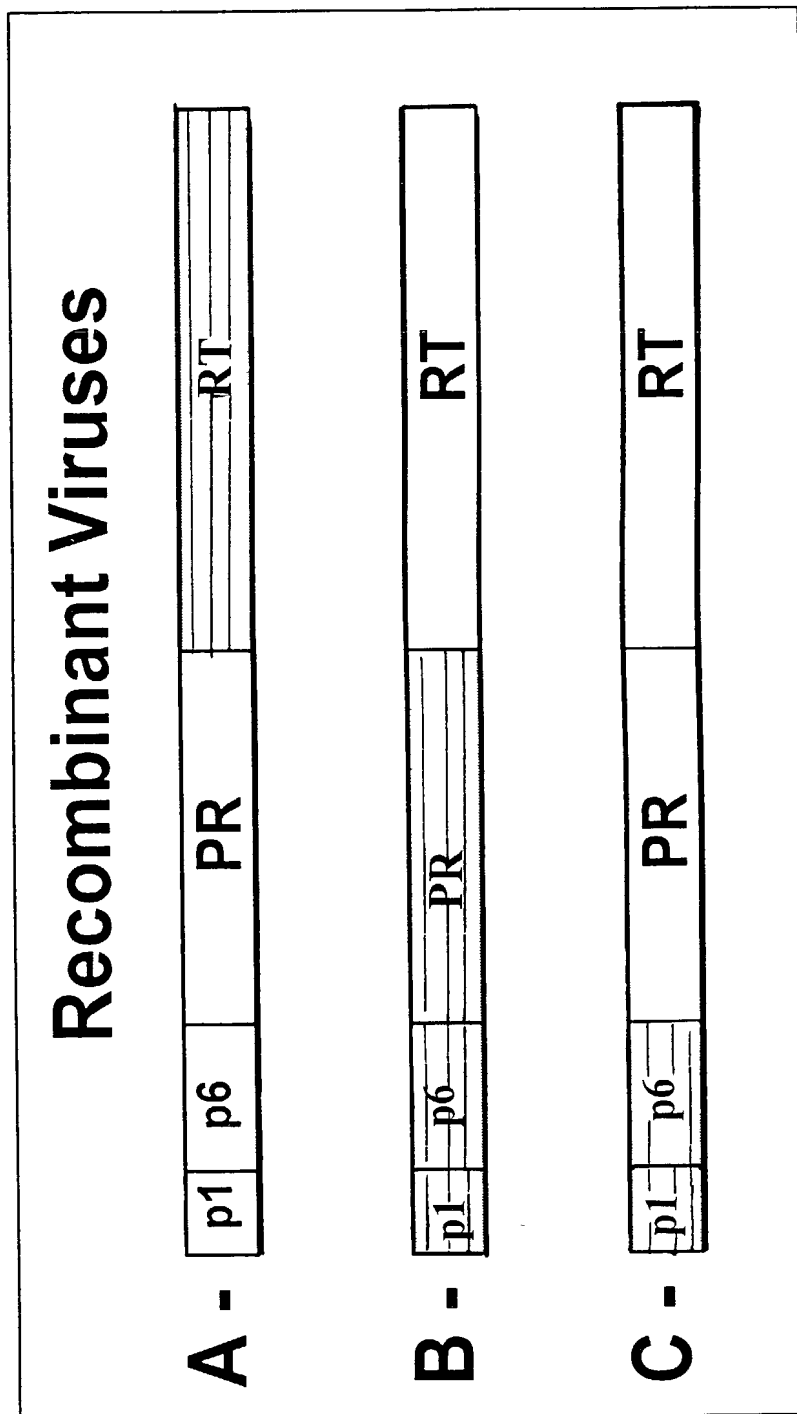
FIGURE 9

*Cell based assay to measure phenotypic drug susceptibility employing
 patient-derived recombinant viruses*



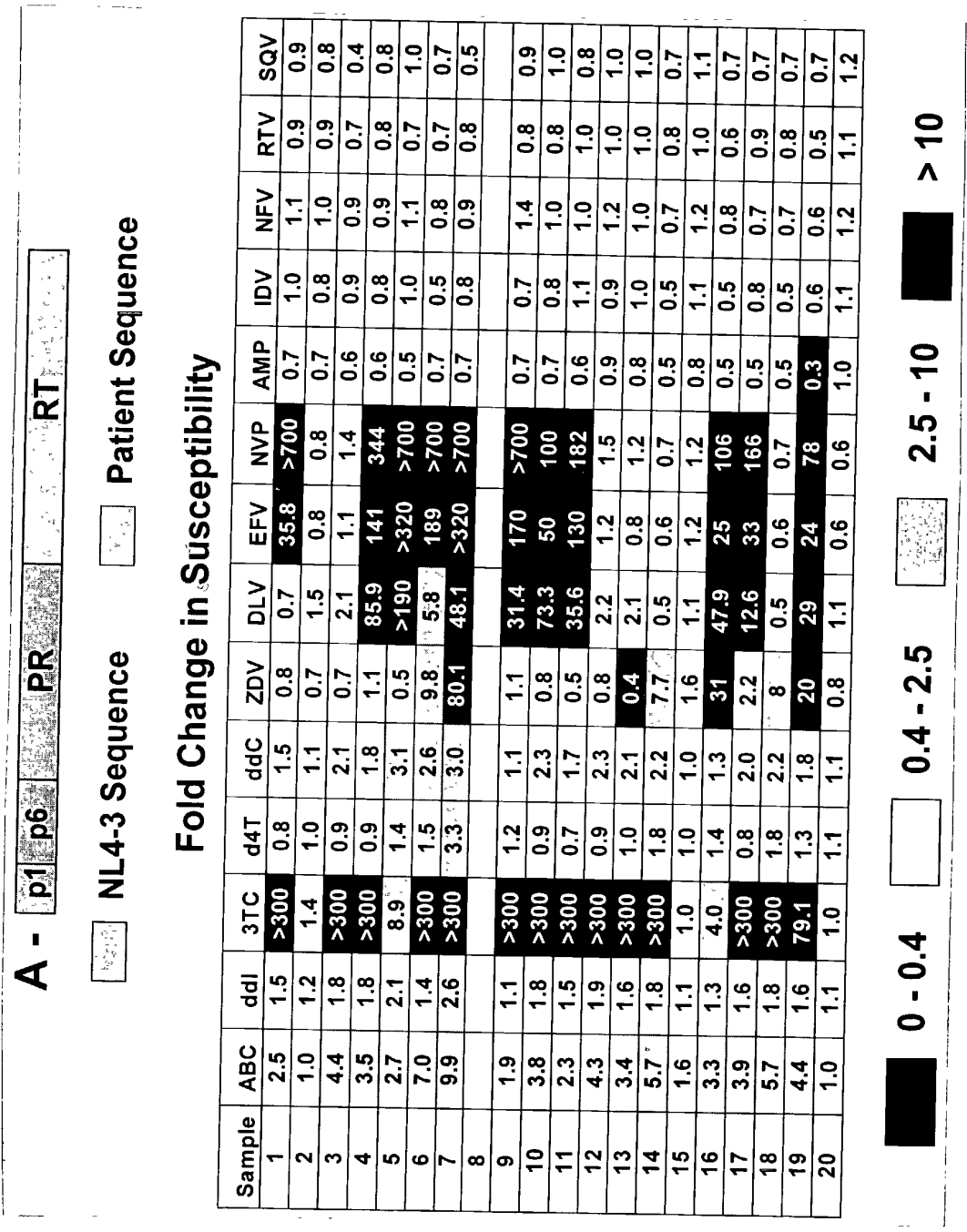
33/50

FIGURE 10



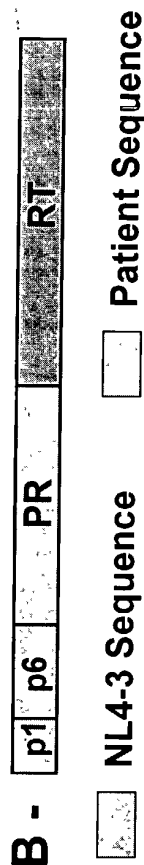
□ NL4-3 Sequence
≡ Patient Sequence

FIGURE 11



35/50

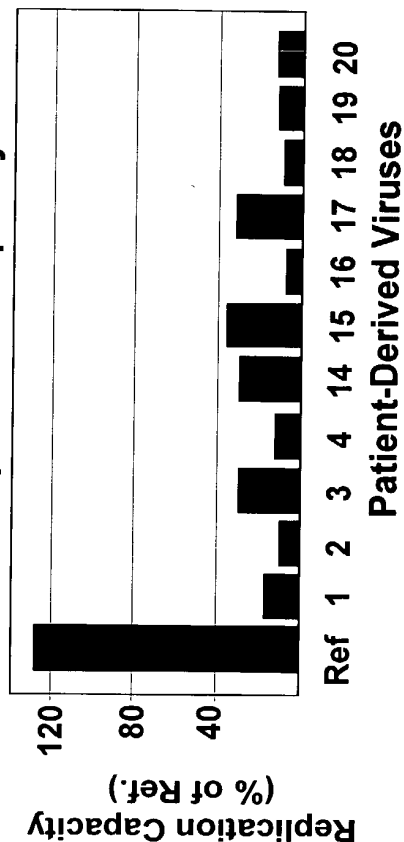
FIGURE 12



Fold Change in Susceptibility

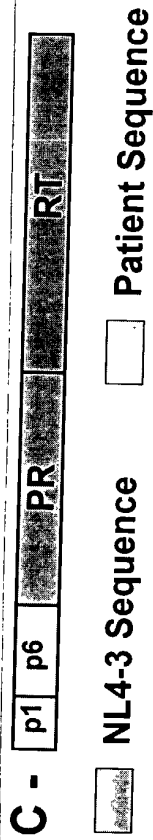
Sample	ABC	ddl	3TC	d4T	ddC	AZT	DLV	EFV	NVP	AMP	IDV	NFV	RTV	SQV
1	0.9	0.9	1.0	1.0	0.9	0.8	0.7	0.8	0.8	0.4	0.6	1.3	0.7	0.5
2	1.0	1.0	1.0	0.9	1.1	1.1	0.6	0.7	0.7	0.6	0.3	0.6	0.2	0.2
3	0.8	1.0	1.0	1.0	0.9	0.9	0.6	0.7	0.6	0.3	0.7	0.7	0.4	0.5
4	0.9	0.9	0.7	1.2	0.9	0.9	0.7	0.8	0.9	0.3	0.5	0.7	0.4	0.4
14	0.9	1.0	1.0	0.9	0.9	0.7	0.7	0.9	0.5	0.3	0.5	0.6	0.7	0.9
15	0.9	1.1	0.9	1.1	1.0	1.1	0.9	0.9	0.7	0.2	0.3	0.3	0.3	0.6
16	0.8	1.0	0.8	1.1	1.1	0.7	0.5	0.8	0.7	0.4	0.3	0.3	0.4	0.5
17	1.0	1.0	0.9	1.0	1.0	1.0	0.7	1.0	0.8	0.2	0.4	0.5	0.4	0.6
18	0.9	0.7	0.8	0.9	0.9	0.9	0.6	0.9	0.5	0.3	0.4	0.4	0.4	0.5
19	0.9	1.0	0.9	0.8	1.0	0.8	0.7	0.9	0.8	0.4	0.4	0.4	0.3	0.6
20	0.9	1.0	1.0	0.9	0.9	1.0	0.6	0.9	0.6	0.2	0.3	0.3	0.3	0.4

Replication Capacity



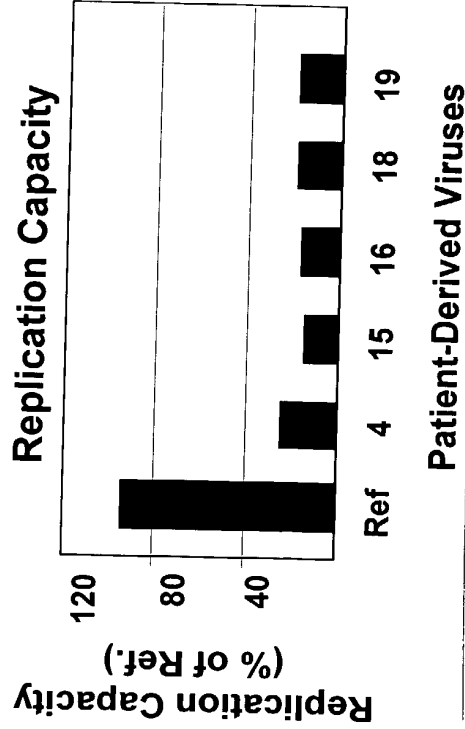
36/50

FIGURE 13



Fold Change in Susceptibility

Sample	ABC	ddl	3TC	d4T	ddC	ZDV	DLV	EFV	NVP	AMP	IDV	NFV	RTV	SQV
4	0.9	1.0	0.9	0.9	0.7	0.8	1.1	0.7	0.6	0.6	0.5	0.6	0.6	0.4
15	0.9	1.1	1.0	1.0	0.9	0.8	1.6	0.8	0.8	0.5	0.4	0.4	0.4	0.3
16	0.8	1.0	0.9	1.0	0.9	0.8	1.3	0.7	0.6	0.3	0.4	0.3	0.3	0.5
18	0.9	0.9	1.0	1.0	0.8	0.7	1.1	0.7	0.5	0.2	0.4	0.2	0.2	0.7
19	1.0	1.0	1.0	1.0	0.9	0.7	1.1	0.7	0.5	0.3	0.3	0.3	0.3	0.5



37/50

FIGURE 14

What Is the Role of Sequences Flanking the N-Terminus of PR?

1. The Gag Frame Encodes p1 and p6

- p6 contains the L domain (PTAPP) which is critical for virus release from the cell
- p6 is required for proper incorporation of Vpr into the virions as well as retention of pol proteins
- p6 associates with TRiC (chaperonin)

2. The Pol Frame Encodes a Transframe Protein (TFR)

TFR includes a conserved octapeptide (TFP) and p6*

- The TFP is a potent competitive inhibitor of PR in vitro
- p6* modulates PR activity



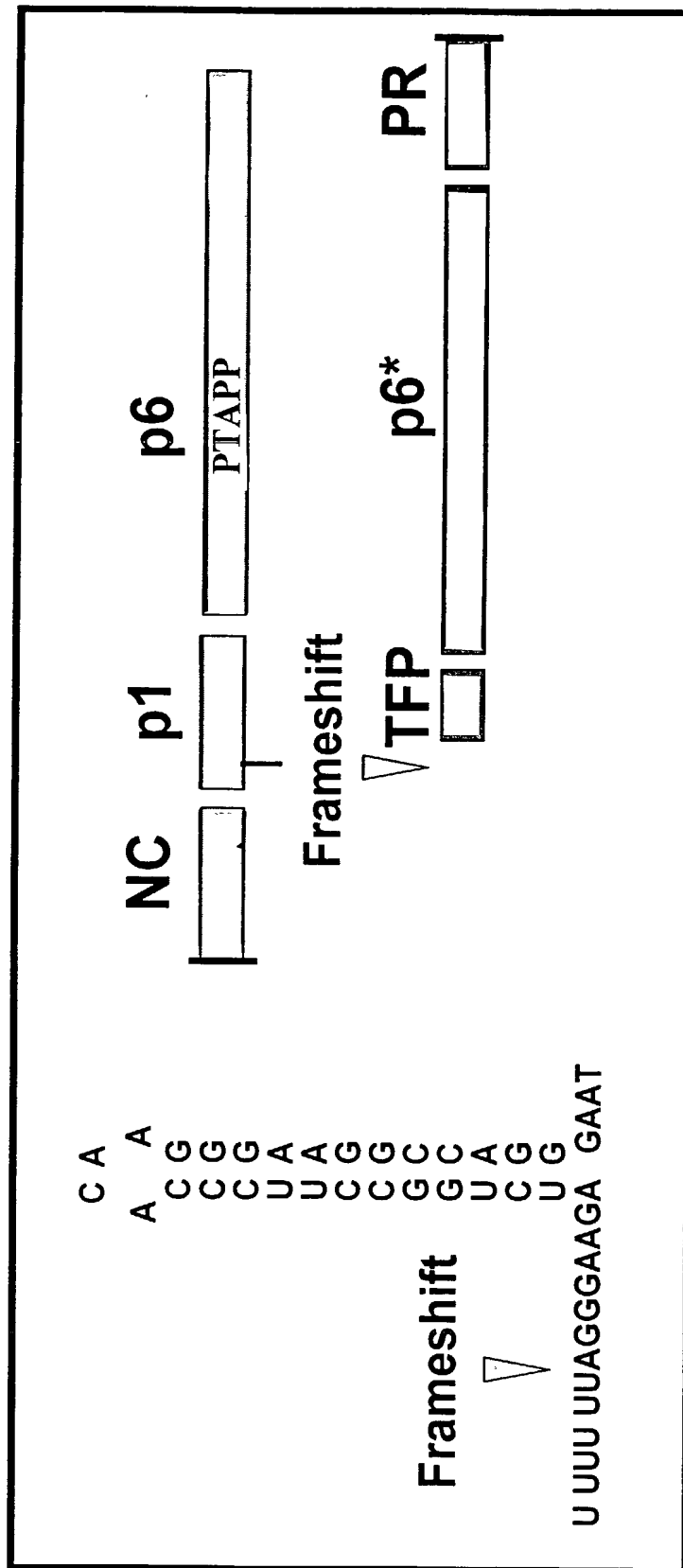
Applicants : Neil T. Parkin and Rainer Ziermann
 U. S. Serial No. 09/874,472
 Filing Date: June 4, 2001
 Title of the Invention: MEANS AND METHODS FOR
 MONITORING PROTEASE INHIBITOR
 ANTIRETROVIRAL THERAPY AND GUIDING
 THERAPEUTIC DECISIONS IN THE TREATMENT
 OF HIV/AIDS

Sheet 38 of 50

38/50

FIGURE 15

*Contains Sequences and Structures Required for Frameshift
 Slippery heptamer sequence (U UUU UUA)
 Stem loop structure downstream of the frameshift site*





39/50

FIGURE 16
Gag p1 and p6
Genotype of Patient-Derived Sequences

	ANFLGKIWP	SHKGRPGN	FLQSRPE	PTAPPEE	SFRFG	ETTPSQ	KQEPID	KELYPL	ASLRSL	FGNDP	SSQ
ISNAGST
IIVSATKL
IIILNTPTRQVTKL
IVRSGK

Transframe Protein

	FFREDL	AFFPQ	GKAREF	SSEQ	TRANSP	TRRE	LQWGR	DNNS	LSEAG	ADRQT	VSFSF
ILRSNNL
IINEKLCTISD
IIITPNGPDICN
IVNLRT

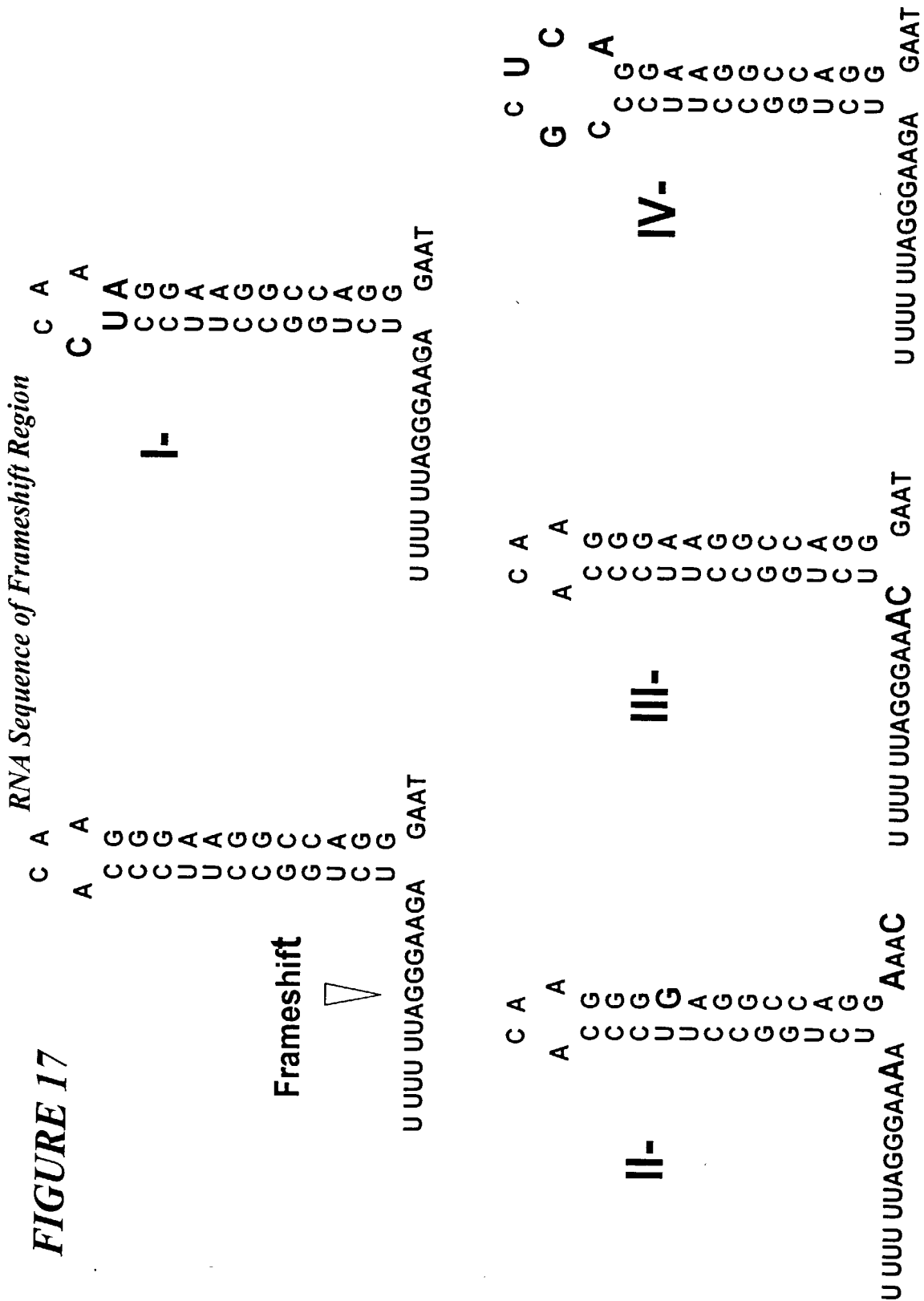
* I to IV represent clones derived from patient sample pools that retained the HS to PI



Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 40 of 50

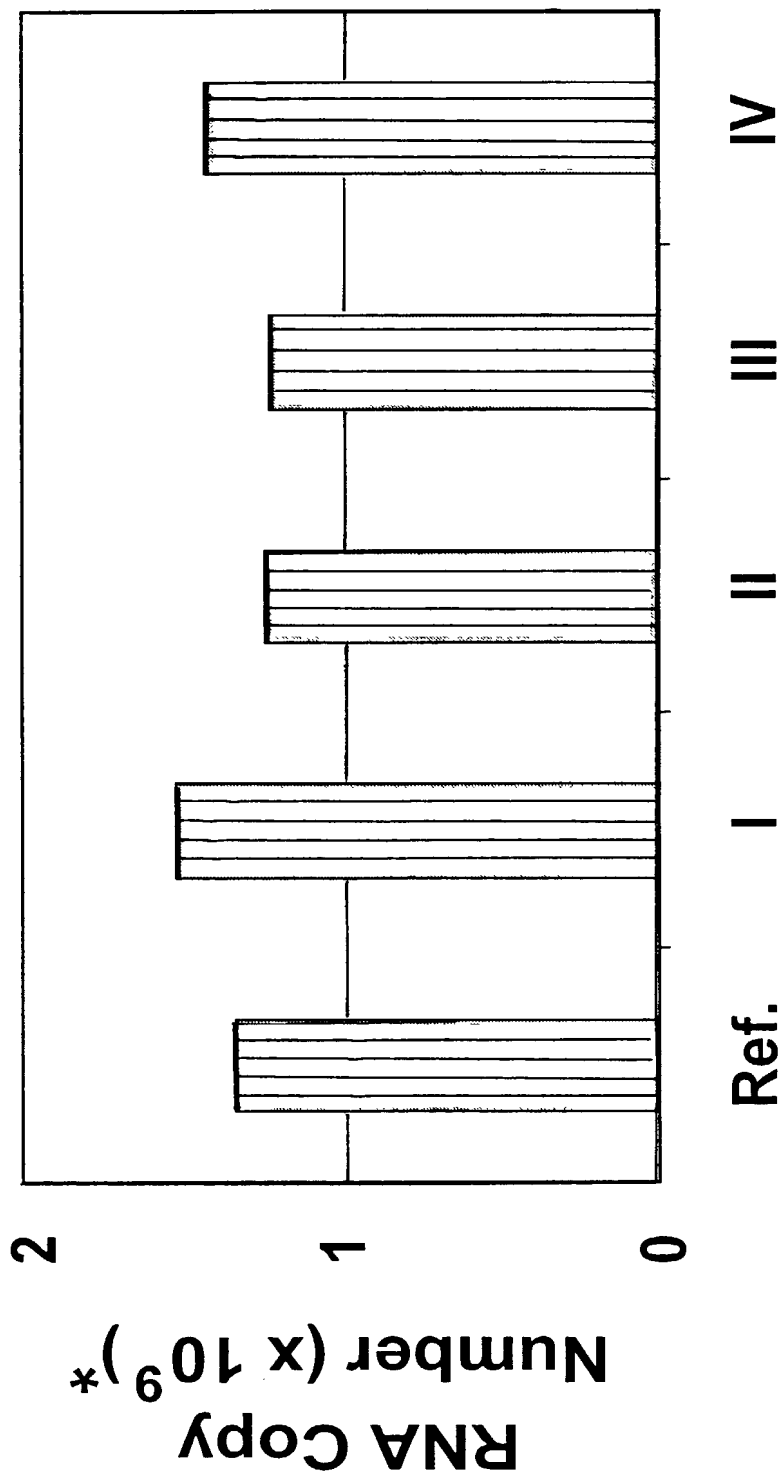
40/50





41/50

FIGURE 18





Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 42 of 50

42/50

FIGURE 19

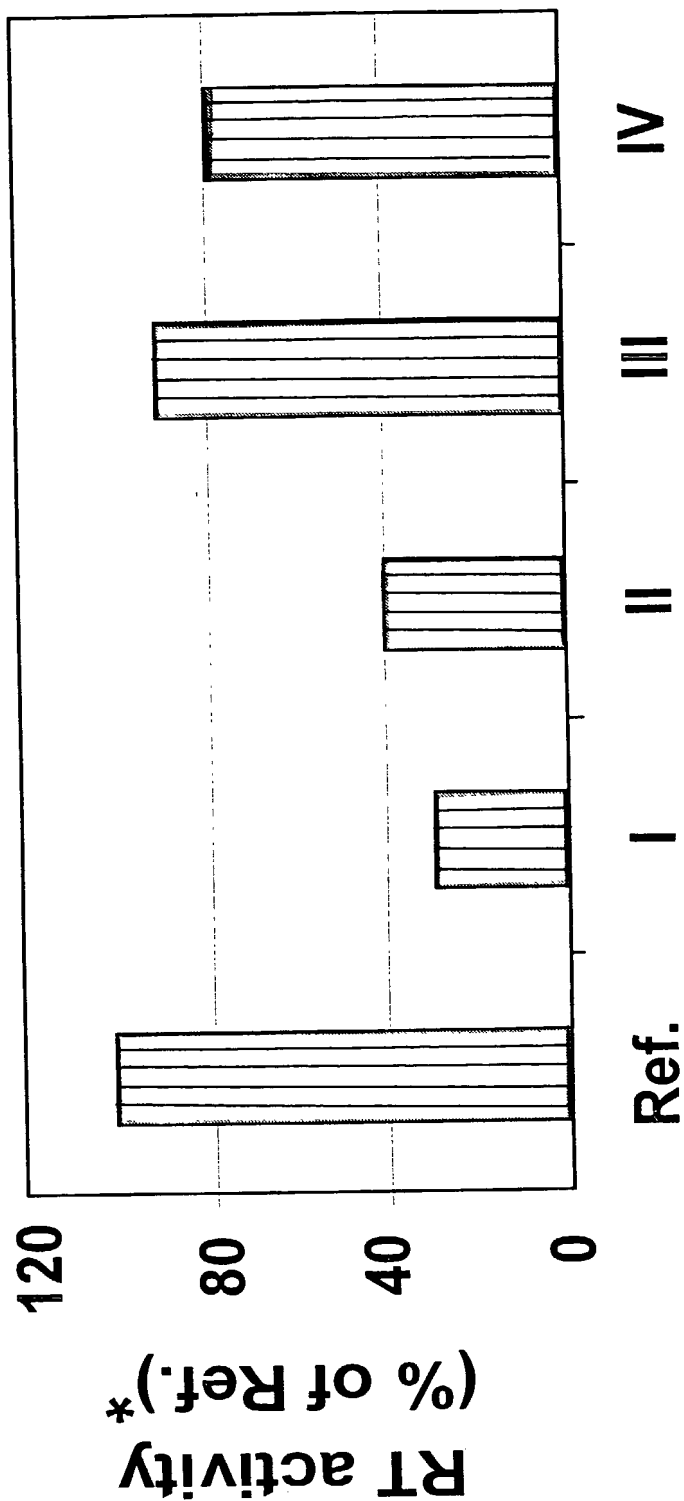
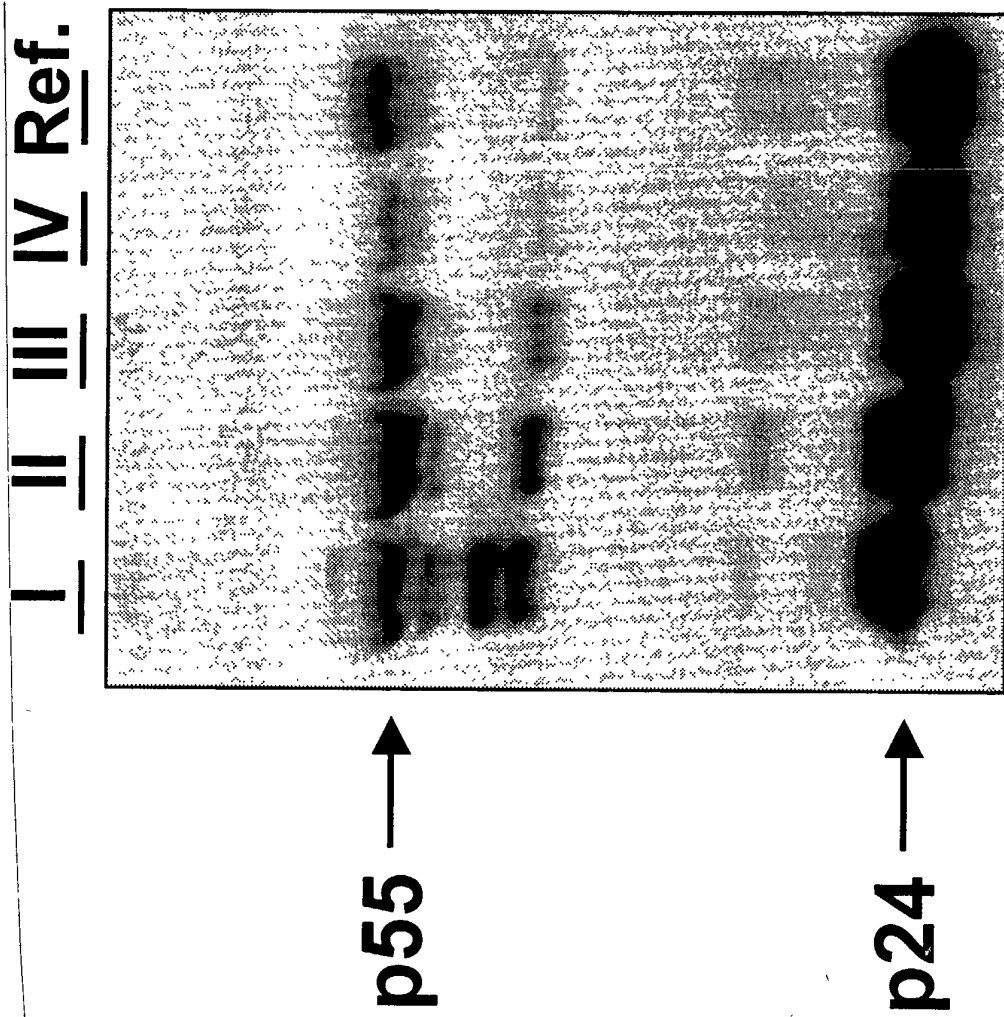


FIGURE 20

Processing of Pr55Gag in Virions
Western Blot analysis using anti-p24 antibodies



43/50

09674472 . 101702



44/50

FIGURE 21

- HS to PIs is associated with decreased viral fitness
- In 25% of the cases analyzed in this study, the HS to PIs and decreased replication capacity was attributed to mutations in gag sequences flanking the N-terminus of PR
- Genotypic analysis revealed several unusual polymorphisms in p1-p6/TFP-p6* sequences
- Recombinant viruses carrying only the C-terminal gag sequences from patient isolates that retained the HS phenotype are released efficiently from the cell. However, analysis of the virus associated RT and PR activities suggest maturation defects



45/50

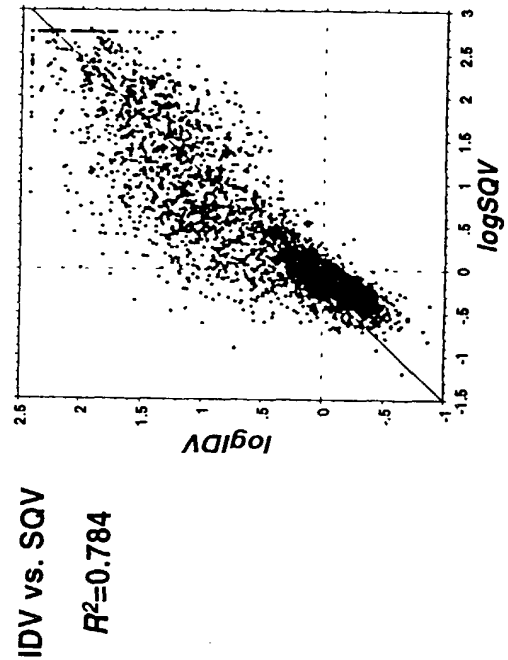
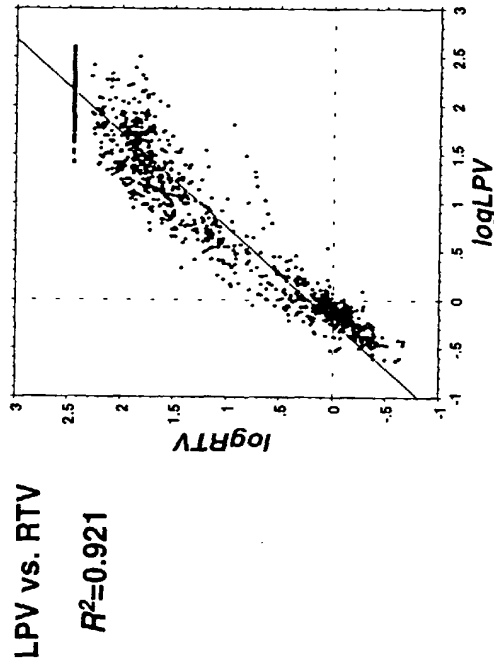
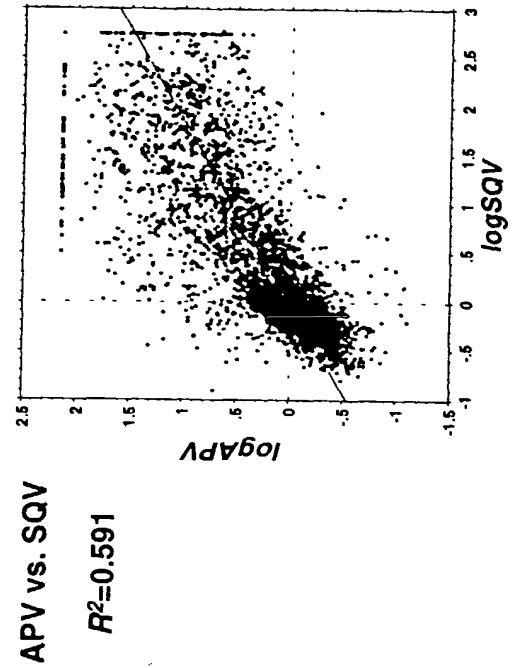
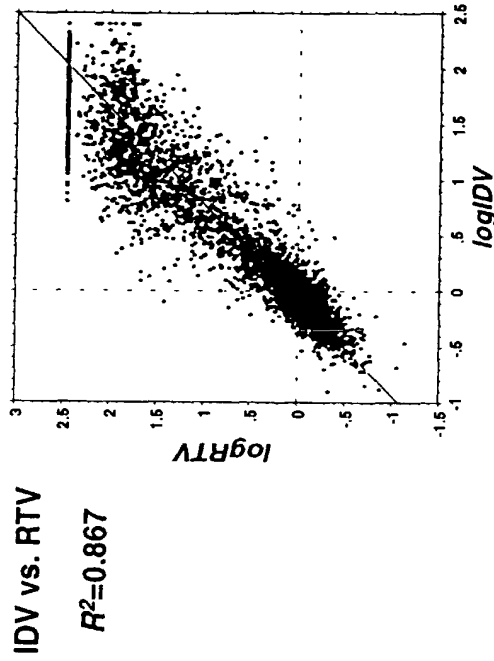


FIGURE 22

46/50

FIGURE 23

R^2 values
(sorted by drug)

R^2 values
(sorted by drug)

R^2 values for pairwise comparisons (all samples)			
PI 1	PI 2	R^2	R^2
APV	IDV	0.675	0.925 *
APV	LPV	0.777	0.921 *
APV	NFV	0.544	0.880 **
APV	RTV	0.737	0.873 *
APV	SQV	0.591	0.867
IDV	LPV	0.849	0.867
IDV	NFV	0.774	0.849
IDV	RTV	0.774	0.801 *
IDV	SQV	0.925 *	0.784
IDV	LPV	0.867	0.777
IDV	NFV	0.784	0.774
NFV	LPV	0.757	0.757
NFV	RTV	0.696	0.740
NFV	SQV	0.873 *	0.737
NFV	LPV	0.691	0.696
NFV	RTV	0.801 *	0.691
RTV	LPV	0.921	0.678
RTV	SQV	0.740	0.675
RTV	LPV	0.880 **	0.591
SQV	LPV	0.678	0.544

* Excluding viruses with D30N (see Fig. 4)

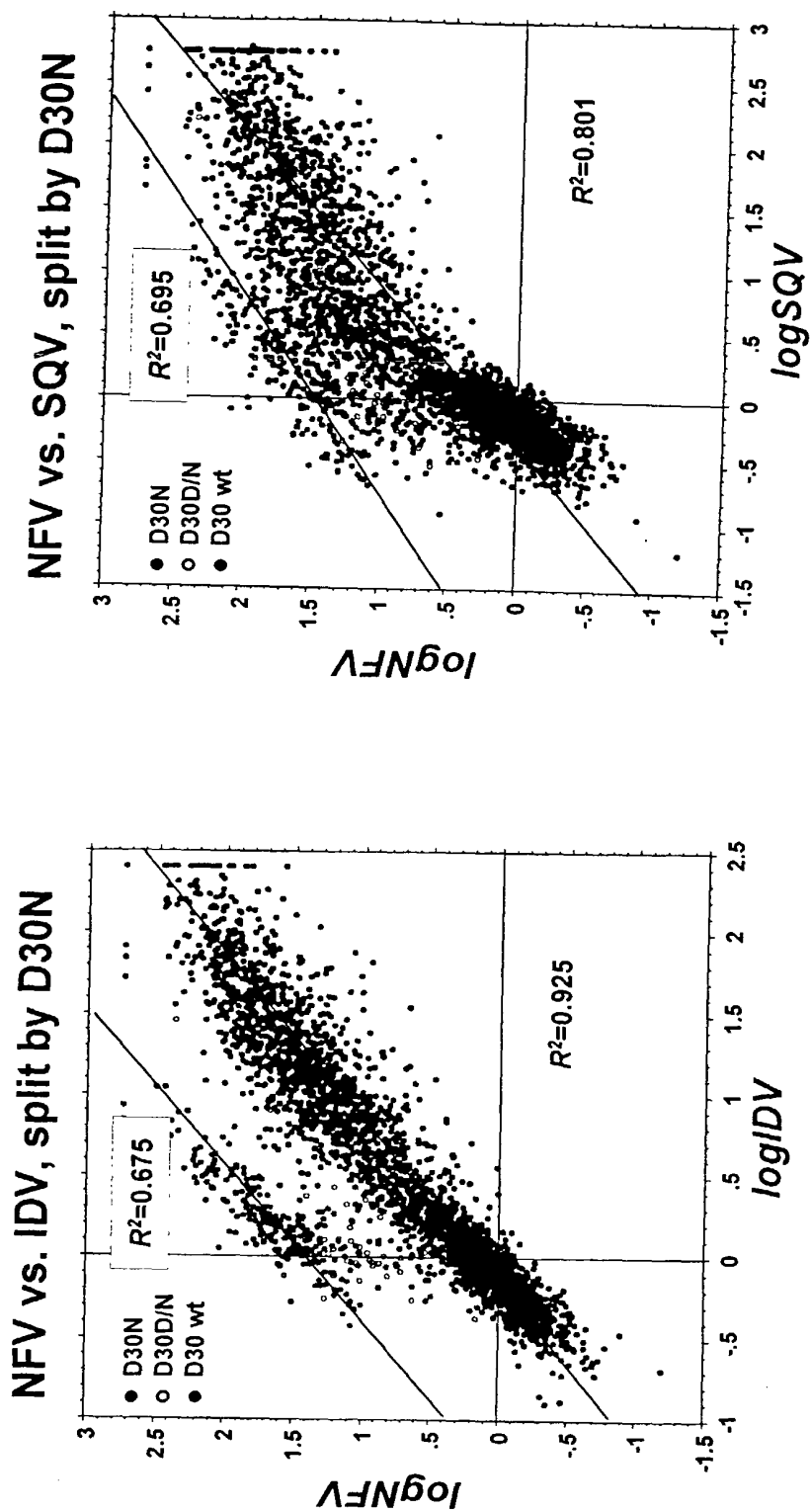
** Excluding viruses with V82AFST (see Fig. 5)

R^2 values for pairwise comparisons (all samples)

APV	IDV	LPV	NFV	RTV	SQV
1	0.675	0.777	0.544	0.737	0.591
0.675	1	0.849	0.774	0.867	0.784
0.777	0.849	1	0.757	0.921	0.678
0.544	0.774	0.757	1	0.696	0.691
0.737	0.867	0.921	0.696	1	0.740
0.591	0.784	0.678	0.691	0.740	1

<0.7
 0.7-0.8
 0.8-0.9
 >0.9

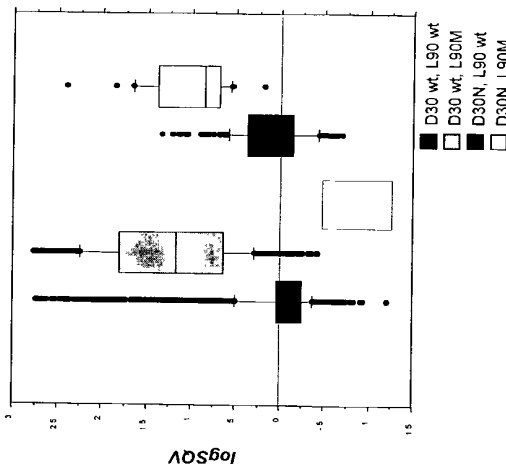
FIGURE 25



48/50

FIGURE 26

SQV fold change +/- D30N, L90M



Phenotypes of samples containing D30N, and/or L90M, from the database (boxes contain a bar at the median and represent the 25th to 75th percentiles; the error bars represent the 10th and 90th percentiles; and the dots are the outliers).

D30N/N88D/L90M: Patient samples

PR genotype (resistance-associated mutations)	AMP	IDV	HFV	RTV	SQV	Fold-change in IC ₅₀ vs. reference
L1010L, D30N, L33L/F, M36I, L63P, A71T, N88D, L90M	19	28	160.4	8.2	9.6	
D30N, L63P, V77I, N88D, L90M	13	32	74.2	4.0	7.1	
D30N, M36I, L63P, A71T, N88D, L90M	11	26	124.0	3.6	4.4	
D30N, L63P, V77I, N88D, L90M	2.0	5.3	57.0	3.4	9.3	
L10F, D30N, L33F, I54L, L63P, A71V, V77I, N88D, L90M	11.4	4.1	108.8	4.7	6.1	
L10F/Y, D30N, I54L, L63P, A71T, V77I, N88D, L90M	3.7	3.9	171.4	5.7	38.1	
D30N, L63P, V77I, N88D, L90M	0.4	1.3	32.8	3.1	3.7	
L10F, D30N, L63P, A71T, V77I, N88D, L90M	2.3	7.6	217.5	3.9	11.9	
L10L/R, D30N, M36I, I54U/L, L63P, A71V, N88D, L90M	2.7	5.2	140.1	10.2	21.0	
D30N, M36I, I54V, L63P, A71V, N88D, L90M	1.5	5.8	218.5	16.8	24.3	
K20K/R, D30N, M36I, F53F/L, I54V, L63P, A71V, N88D, L90M	2.3	8.4	>550	35.0	72.0	
L10L/F, I193V, L19T, D30N, R41K, L63P, N88D, L90M	1.2	1.7	46.9	2.5	5.0	
D30N, L63P, V77I, N88D, L90M	1.0	2.3	66.3	3.1	3.9	
L10F, K20T, D30N, L33F, M36I, M48M/L, I54L, L63P, A71V, V77I, N88D, L90M	27.6	6.8	>550	31.2	45.3	
D30N, L33F, L63P, A71A/T, N88D, L90M	1.3	1.3	35.7	2.7	3.5	
D30N, L63P, V77I, N88D, L90M	1.5	3.5	73.7	3.3	5.2	
D30N, M36I, I54V, L63P, A71V, N88D, L90M	2.2	12.0	140.4	27.0	45.8	
L10F, K20R, D30N, V32V/L, L33L/F/L, M36I, M46I, I47V/L, I54I/A/M/T/V, L63P, A71V, V82V/A, N88D, L90M	>130	>250	>550	>275	257.5	

2.5-10 fold >10 fold

Phenotypes of samples containing D30N, N88D, and L90M. There are no mixtures detected at these sites, indicating that the mutations are linked. All have reduced susceptibility (>2.5 -fold change in IC₅₀) to NFV and SQV.



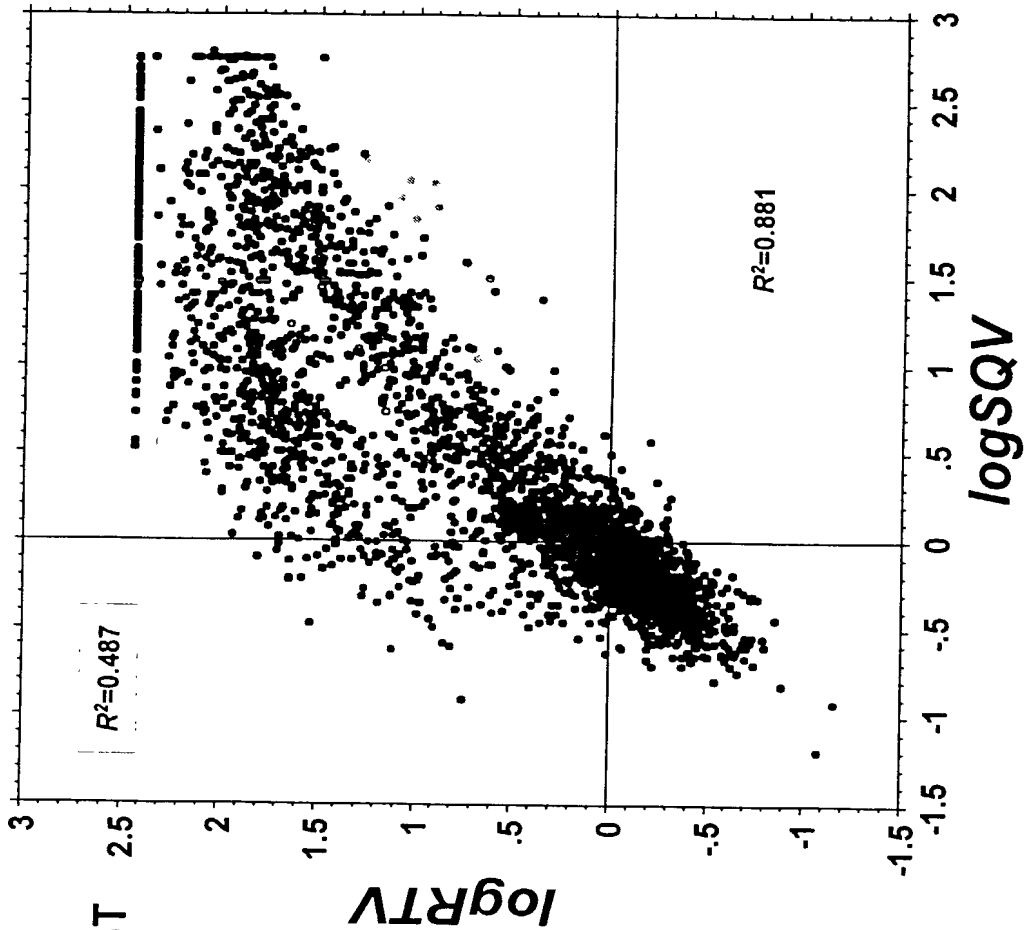
Applicants : Neil T. Parkin and Rainer Ziermann
U. S. Serial No. 09/874,472
Filing Date: June 4, 2001
Title of the Invention: MEANS AND METHODS FOR
MONITORING PROTEASE INHIBITOR
ANTIRETROVIRAL THERAPY AND GUIDING
THERAPEUTIC DECISIONS IN THE TREATMENT
OF HIV/AIDS

Sheet 49 of 50

49/50

FIGURE 27

SQV vs. RTV,
split by V82AFST
and G48V



- V82AFST, G48 wt
- G48V, V82 wt
- G48V, V82AFST
- G48 wt, V82 wt



50/50

FIGURE 28

